

Taut foliations from left orders, in Heegaard genus two

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Outline

- I. Motivation
- II. Left orders & Right orders
- III. Taut foliations
- IV. Heegaard foliations

I. Motivation

Why fundamental group left orders and taut foliations?

- A. Big picture
- B. Heegaard Floer homology
- C. L-spaces
- D. L-space conjecture

For duration of talk: M closed oriented 3-manifold.

Structures/Properties of M :

- interesting geodesics
- constrained 1-vertex triangulations
- taut foliations
- tight contact structures

Invariants of M :

- volume
- $H_1(M)$
- $\pi_1(M)$
- gauge/Floer-theoretic:
 $HF/HM/ECH, HI.$

For duration of talk: M closed oriented 3-manifold.

Structures/Properties of M :

- interesting geodesics
- constrained 1-vertex triangulations
- taut foliations
- tight contact structures

- volume
- $H_1(M)$ (cycles / boundaries)
- $\pi_1(M)$ properties: geometric type,...
- ????

Invariants of M :

- volume
- $H_1(M)$
- $\pi_1(M)$
- gauge/Floer-theoretic:
 $HF/HM/ECH, HI.$

I. Motivation. Heegaard Floer homology (Ozsváth-Szabó, 2000)

$M = U_\alpha \cup_\Sigma U_\beta$. Heegaard diagram $\mathcal{H} = (\Sigma, \alpha, \beta, z)$.

$HF(M) := HF_{\text{Lag}}(\mathbb{T}_\alpha, \mathbb{T}_\beta)$, $\mathbb{T}_\alpha, \mathbb{T}_\beta \subset \text{Sym}^{g(\Sigma)}(\Sigma)$.

— $CF(M)$ generated by points $\mathbb{T}_\alpha \cap \mathbb{T}_\beta \subset \text{Sym}^{g(\Sigma)}(\Sigma)$.

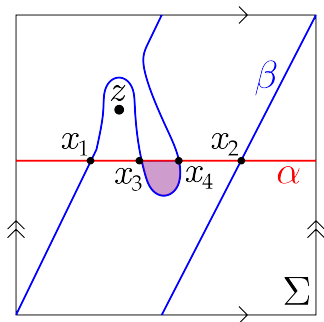
— Differentials: pseudoholomorphic Whitney disks.

Example:

$$\widehat{CF}(M, \mathfrak{s}_1) : \langle x_1, x_4 \rangle \xrightarrow{x_4 \mapsto x_3} \langle x_3 \rangle,$$

$$\widehat{CF}(M, \mathfrak{s}_2) : \langle x_2 \rangle,$$

$$\implies \widehat{HF}(M, \mathfrak{s}_1) \simeq \widehat{HF}(M, \mathfrak{s}_2) \simeq \mathbb{Z}.$$



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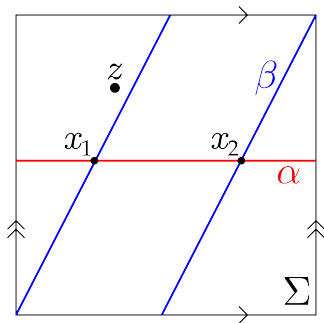
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Example:

$\widehat{CF}(M, \mathfrak{s}_1) : \langle x_1 \rangle,$

$\widehat{CF}(M, \mathfrak{s}_2) : \langle x_2 \rangle,$

$\implies \widehat{HF}(M, \mathfrak{s}_1) \simeq \widehat{HF}(M, \mathfrak{s}_2) \simeq \mathbb{Z}.$



If M is a $\mathbb{Q}HS$ ($b_1(M) = 0$), then the smallest $\widehat{HF}(M)$ can be is

$$\widehat{HF}(M) = \bigoplus_{\mathfrak{s} \in \text{Spin}^c(M)} \widehat{HF}(M, \mathfrak{s}) \simeq \bigoplus_{h \in H_1(M)} \mathbb{Z} \simeq \mathbb{Z}^{|H_1(M)|}.$$

Definition (L-space).

M is an *L-space* if $b_1(M) = 0$ and $\text{rank } \widehat{HF}(M) = |H_1(M)|$,
or equivalently, if $HF_{\text{red}}(M) = 0$.

Example L-spaces:

- Lens spaces.
- Branched double covers of alternating knots.

I. Motivation. L-space conjecture

Conjecture. (Boyer-Gordon-Watson, Juhász, Ozsváth-Szabó, Némethi)

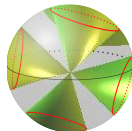
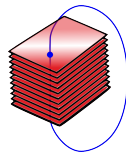
M is **not** an L-space $\iff \dots$

$\pi_1(M)$ has a left order (LO).

$$g_1 > g_2 \iff hg_1 > hg_2$$

M admits a
co-oriented taut foliation (CTF).

(if M a neg def graph manifold)
 M links a nonrational singularity.



II. Left Orders and Right Orders

LO = Left order. RO = Right order.

A. Definitions and positive cones

B. Real line actions.

II. LOs & ROs. Definitions and positive cones

G nontrivial group. LO = Left order. RO = Right order.

Definition (LOs & ROs).

LO $>_R$ on G : $g_1 >_R g_2 \iff hg_1 >_R hg_2 \quad \forall g_1, g_2, h \in G.$

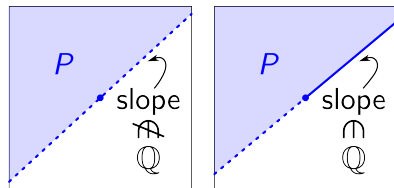
RO $>_L$ on G : $g_1 >_L g_2 \iff g_1 h >_L g_2 h \quad \forall g_1, g_2, h \in G.$

Definition (positive cone P).

$P \subset G$ is a *positive cone* if

(i) $P \cdot P \subset P$

(ii) $G = P \amalg \{\text{id}\} \amalg P^{-1}$



$$G = \mathbb{Z} \oplus \mathbb{Z}$$

Proposition (Alternative Definition).

G is LO $\iff G$ is RO $\iff G$ admits a positive cone P .

$g >_L h \iff g^{-1} >_R h^{-1} \iff g^{-1}h \in P.$

Theorem (classical). G countable nontrivial group.

G is LO $\iff G$ admits faithful \mathbb{R} -action, $\rho : G \rightarrow \text{Homeo}_+ \mathbb{R}$.

(\implies) : *Dynamically realized action* ρ .

Choose $\rho(\cdot)(0) : G \hookrightarrow \mathbb{R}$ dense and order-preserving:

$$\rho(g)(0) < \rho(h)(0) \iff g <_L h.$$

Set $\rho(g)(\rho(h)(0)) := \rho(gh)(0) \quad \forall g, h \in G$.

Extend by limit points.

(\impliedby) : *Lexicographical ordering*.

Choose ordering on $\mathbb{Q} \subset \mathbb{R}$: $\mathbb{Q} = \{q_1, q_2, \dots\}$.

For $g \neq h$, to see if $g <_L h$, ask “is $\rho(g)(q_1) < \rho(h)(q_1)$?”

If $\rho(g)(q_i) = \rho(h)(q_i) \quad \forall i \leq k$, ask “is $\rho(g)(q_{k+1}) < \rho(h)(q_{k+1})$?” ...

Theorem (Boyer-Rolfsen-Wiest). If M is prime, closed, oriented, then $\pi_1(M)$ is LO if $\pi_1(M)$ admits *any* nontrivial \mathbb{R} -action.

III. Taut foliations (CTFs)

CTF = Cooriented taut foliation.

A. Foliations

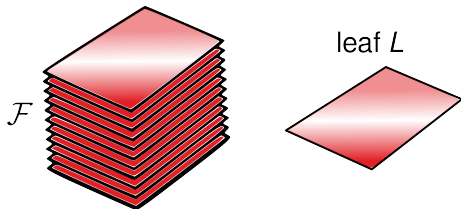
B. Taut foliation definition

C. $\pi_1(M)$ LOs from CTFs on M ?

D. Known constructions of taut foliations

E. Transversely foliated bundles + holonomy reps

Definition (product foliation). A *codim- k product foliation* \mathcal{F} on X is a decomposition $\mathcal{F} = \coprod_{b \in B} \pi^{-1}(b)$ of X into fibers $\pi^{-1}(b) \cong L$ of a trivial fibration $\pi : X \rightarrow B$ over a k -dim base B . ($X \cong L \times B$) The fibers $\pi^{-1}(b)$, for $b \in B$, are called the *leaves* of \mathcal{F} .

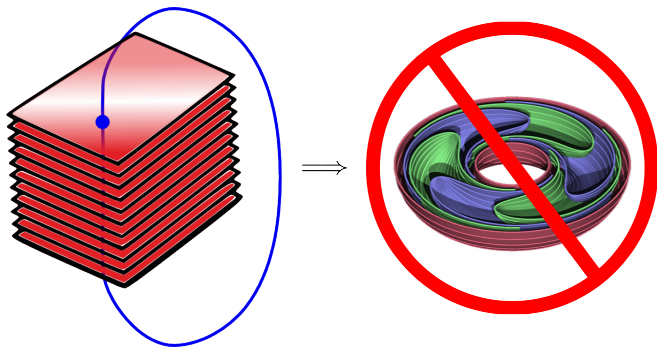


Definition (foliation). A *codimension- k foliation* \mathcal{F} on X^n is a globally compatible decomposition of X into leaves that looks locally like the product foliation associated to the trivial fibration $\mathbb{R}^n \rightarrow \mathbb{R}^k$.

Coorientation on $\mathcal{F} \leftrightarrow$ globally compatible coorientations on \mathbb{R}^k s.

III. CTFs. Taut foliation definition

Definition (taut foliation). A codimension-1 foliation \mathcal{F} on a closed oriented 3-manifold M is called *taut* if for every $x \in M$, there is a closed *transversal* containing x , i.e. a closed curve transverse to \mathcal{F} .



Convention. All foliations cooriented unless otherwise specified: CTF.

III. CTFs. $\pi_1(M)$ LOs from CTFs on M ?

Given a CTF \mathcal{F} on M ...

1. If $e(\mathcal{F}) = 0$, then $\pi_1(M)$ is LO. (Calegari-Dunfield)

\mathcal{F} CTF \rightsquigarrow faithful “universal S^1 action”: $\rho_{\mathcal{F}}^{S^1} : \pi_1(M) \rightarrow \text{Homeo}_+ S^1$.

$e(\rho_{\mathcal{F}}^{S^1}) = e(\mathcal{F}) = 0 \implies \rho_{\mathcal{F}}^{S^1}$ lifts to \mathbb{R} -action, $\pi_1(M) \rightarrow \text{Homeo}_+ \mathbb{R}$.

2. If \mathcal{F} is \mathbb{R} -covered, then $\pi_1(M)$ is LO.

Leafspace $\Lambda_{\mathcal{F}}$ of CTF \mathcal{F} given by $\tilde{M} \xrightarrow{\text{leaf} \mapsto \text{point}} \Lambda_{\mathcal{F}}$.

\mathcal{F} \mathbb{R} -covered means leafspace $\Lambda_{\mathcal{F}} \cong \mathbb{R}$.

$\pi_1(M)$ acts on $\tilde{M} \implies \pi_1(M)$ acts on $\Lambda_{\mathcal{F}} \cong \mathbb{R}$.

1. Dunfield: $e(\mathcal{F})$ has approx uniform random distribution in $H^2(M)$.

2. \mathbb{R} -covered foliations mostly only known for Seifert-fibered manifolds.

★ LOs \rightarrow CTFs: ????? (previously unknown)

Thurston: Slitherings around S^1 .

Gabai: Intersections with \mathbb{R} -bundles over M ?

Danny Calegari: Generalising Ziggurats (Jankins-Neumann-Naimi).

III. CTFs. Known constructions of taut foliations

Only 2 known types of strategies for constructing CTFs on M prime.

1. M arbitrary: branched surfaces.

- Sutured hierarchy (but requires $b_1(M) > 0$) (Gabai),
- Knot exteriors (Roberts et al),
- Foliar orientations on one-vertex triangulations (Dunfield).

2. M Seifert fibered: fiber-transverse foliations.

- Fiber-transverse foliation on S^1 -fibration over orbifold.
- For appropriate graph manifolds, such foliations can be glued together.

Fiber-transverse foliation analog for arbitrary M ?

III. CTFs. Transversely foliated bundles \rightarrow holonomy representations

Definition (complete transversely foliated bundle).

An F -bundle $\pi : E \rightarrow B$ with foliation \mathcal{F}

is a *complete transversely foliated bundle* if for each leaf $L \subset E$ of \mathcal{F} ,

(i) (transversality) L is transverse to each fiber $\pi^{-1}(b) \cong F$ of E , and

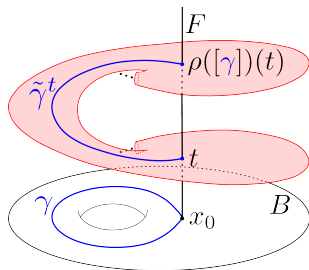
(ii) (completeness) π restricts on L to a covering map $\pi|_L : L \rightarrow B$.

Definition (holonomy representation).

For a basepoint $x_0 \in B$ and base-fiber embedding $F \xrightarrow{\sim} \pi^{-1}(x_0) \subset E$,

\mathcal{F} has *holonomy representation* $\text{Hol } \mathcal{F} = \rho : \pi_1(B, x_0) \rightarrow \text{Homeo}_+ F$,

$\rho([\gamma]) : t \mapsto \tilde{\gamma}^t(1)$, $\tilde{\gamma}^t : I \rightarrow E$ lifts $\gamma : (I, \partial I) \rightarrow (B, x_0)$ with $\tilde{\gamma}^t(0) = t$.



Proposition (classical).

Given an oriented manifold F , a closed oriented based manifold (B, x_0) , and a representation $\rho : \pi_1(B, x_0) \rightarrow \text{Homeo}_+ F$,

one can construct

the *complete transversely foliated F -bundle E_ρ*

with *transverse foliation \mathcal{F}_ρ of holonomy representation ρ* , by setting

$$E_\rho := (\tilde{B} \times F) / (x, t) \sim (x \cdot g, \rho(g^{-1})(t)), \text{ for all } g \in \pi_1(B, x_0),$$

$$\pi : E_\rho \rightarrow B, \quad [(x, t)] \mapsto [x] \text{ for } (x, t) \in \tilde{B} \times F.$$

$$\mathcal{F}_\rho := \coprod_{t \in F} \tilde{B} \times \{t\} / \sim,$$

for \tilde{B} the universal cover of B .

\sim identifies each orbit of the diagonal action of $\pi_1(B)$ by deck transformations on \tilde{B} and by ρ^{-1} on F .

III. CTFs. Transversely foliated bundles: classification

Theorem (classical).

Complete transversely-foliated F -bundles over (B, x_0) are classified by their holonomy representation, up to isomorphism of foliated based F -bundles,

In other words, there is a bijection,

$$\left\{ \begin{array}{l} \text{complete transversely-foliated} \\ F\text{-bundles over } (B, x_0) \end{array} \right\} / \left\{ \begin{array}{l} \text{isomorphism of} \\ \text{foliated based bundles} \end{array} \right.$$
$$\updownarrow (\mathcal{F} \mapsto \text{Hol } \mathcal{F})$$
$$\left\{ \begin{array}{l} \text{representations} \\ \pi_1(B, x_0) \rightarrow \text{Homeo}_+ F \end{array} \right\}.$$

(For a Seifert fibered space M , this gives a correspondence between CTFs on M and \mathbb{R} -actions of $\pi_1(M)$, up to suitable equivalence.)

Classification of Seifert Fibered Spaces with CTFs:

genus > 0 case: Eisenbud-Hirsch-Neumann.

genus 0 case: Jankins-Neumann, Naimi; Calegari-Walker.

Theorem (J-N & N / C-W)

If $M = M(\frac{\beta_0}{\alpha_0}; \frac{\beta_1}{\alpha_1}, \dots, \frac{\beta_n}{\alpha_n})$ is Seifert fibered over S^2 ,
then M admits a CTF $\iff \pi_1(M)$ admits an LO \iff

$$\min_{k>0} -\frac{1}{k} \left(-1 + \sum \left\lceil \frac{\beta_i}{\alpha_i} k \right\rceil \right) < 0 < \max_{k>0} -\frac{1}{k} \left(1 + \sum \left\lfloor \frac{\beta_i}{\alpha_i} k \right\rfloor \right).$$

Theorem (-R)

An analogous classification result holds for graph manifolds.

IV. Heegaard foliations

- A. Main results.
- B. Setup
- C. Subtleties
- D. Foliation templates
- E. Handle-body foliations
- F. Singularities
- G. Singularity cancellation
- H. Extremal regions

IV. Heegaard foliations

Definition (efficient Heegaard diagram).

A Heegaard diagram \mathcal{H} for M is *efficient* if in its associated presentation for $\pi_1(M)$, no proper nontrivial subword of a relator is trivial in $\pi_1(M)$.

Theorem (—R)

Suppose M is a prime closed oriented 3-manifold with an efficient Heegaard diagram of genus ≤ 2 .

Then for any left order $>_L$ on $\pi_1(M)$, one can use \mathcal{H} and $>_L$ to build a cooriented taut foliation on M called a Heegaard foliation.

Corollary

Suppose M is a prime closed oriented 3-manifold with an efficient Heegaard diagram of genus ≤ 2 .

If $\pi_1(M)$ is left-orderable, then M is not an L-space.

IV. Heegaard foliations. Setup

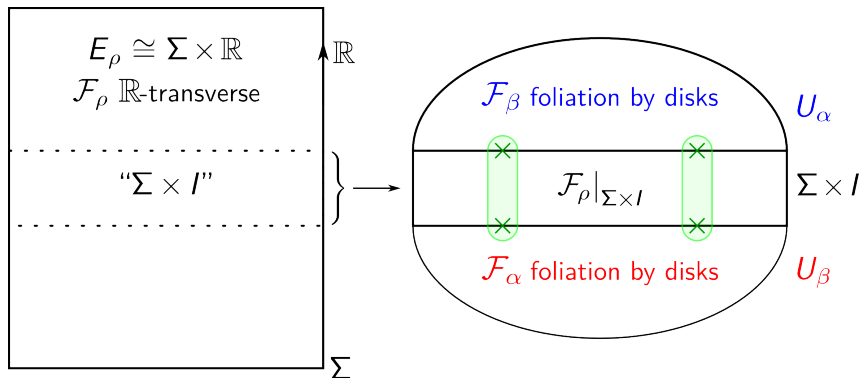
$$\rho' : \pi_1(M) \rightarrow \text{Homeo}_+ \mathbb{R}, \quad \rho(g)(0) < \rho(h)(0) \iff g <_L h.$$

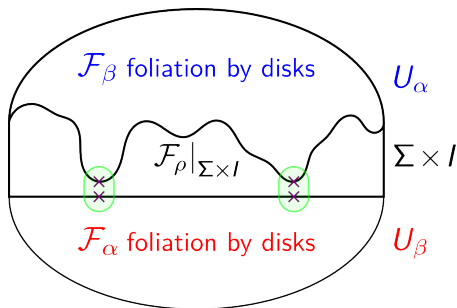
$\mathcal{H} = (\Sigma, \alpha, \beta)$ efficient Heegaard diagram for M ,

$$\iota : \Sigma \hookrightarrow M = U_\alpha \cup_\Sigma U_\beta.$$

$$\rho := \rho' \circ \iota_* : \pi_1(\Sigma) \rightarrow \text{Homeo}_+ \mathbb{R}$$

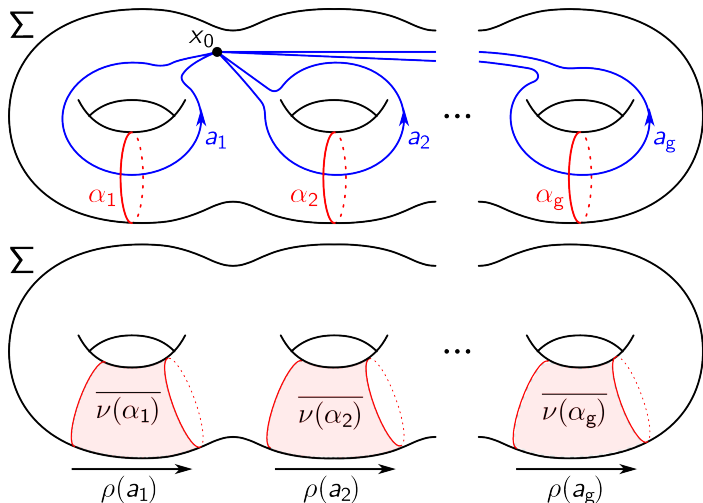
$$\rightsquigarrow E_\rho \cong \Sigma \times \mathbb{R}, \mathcal{F}_\rho \text{ with Hol } \mathcal{F}_\rho = \rho.$$





Subtleties:

1. The \mathbb{R} -transverse foliation \mathcal{F}_ρ must admit sections $\mathcal{F}_{0,\alpha}$ and $\mathcal{F}_{0,\beta}$ that respectively extend to \mathcal{F}_α and \mathcal{F}_β . \implies *Foliation Templates*.
2. Singularities must be contained in special neighborhoods conducive to cancellation. \implies *Extremal regions*.



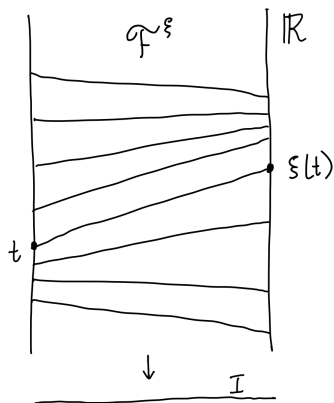
$\alpha_1, \dots, \alpha_g$ freely homotopic to
 $\hat{\alpha}_1, \dots, \hat{\alpha}_g \in \ker \rho = \ker [l_* : \pi_1(\Sigma) \rightarrow \pi_1(M)]$

IV. Heegaard foliations. Foliation templates. Suspension foliations

Definition. To any $\xi \in \text{Homeo}_+ \mathbb{R}$, we associate the codim-1, 2-dim *suspension foliation* \mathcal{F}^ξ on $I \times \mathbb{R}$, rel boundary.

$I \times \mathbb{R}$ regarded as mapping cylinder for ξ .

$\{\text{Leaves of } \mathcal{F}^\xi\} = \{\text{orbits of points under } \xi\}$.



$$\left[\mathcal{F}^\xi / (t, 0) \sim (t, 1) \right]$$

=

$$\left[\begin{array}{l} \mathbb{R}\text{-transverse foliation on} \\ \mathbb{R}\text{-bundle over } S' = I / \{0\} \sim \{1\}, \\ w/ (\text{Hol } \mathcal{F}^\xi / \sim) : \pi_1(S') \rightarrow \text{Homeo}_+ \mathbb{R}, \\ [I \rightarrow S'] \mapsto \xi. \end{array} \right]$$

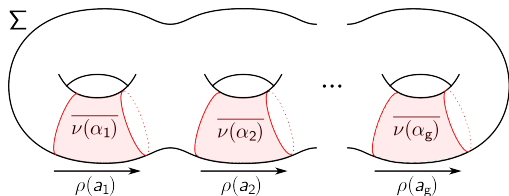
Definition. A foliation template $T = (\varphi, \xi)$ of length n on Σ is an ordered pair of ordered n -tuples with respective i^{th} entries

(i) template charts $\varphi_i : S^1 \times [-\frac{1}{2}, +\frac{1}{2}] \rightarrow A_i \subset \Sigma$

determining the i^{th} template triple (A_i, μ_i, η_i) :

- template pinched annulus $A_i \subset \Sigma$ (pairwise disjoint interiors),
- template curve $\mu_i = \text{core}(\overset{\circ}{A}_i)$,
- local coorientation $\eta_i : I \rightarrow \Sigma$, coorientation for μ_i ;

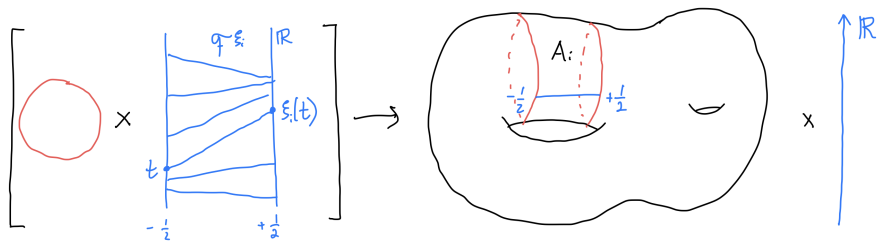
(ii) local holonomy $\xi_i \in \text{Homeo}_+ \mathbb{R}$.



$$\text{im}(\varphi_i) = A_i = \overline{\nu(\alpha_i)}, \quad \mu_i = \alpha_i, \quad \eta_i \sim a_i; \quad \xi_i = \rho(a_i)$$

IV. Heegaard foliations. Foliation templates. T -foliations

Definition. Given $T = (\varphi, \xi)$ with triple (\mathbf{A}, μ, η) , (recall $A_i = \bar{\nu}(\mu_i)$), define the i th suspension foliation of T , \mathcal{F}_T^i , on $A_i \times \mathbb{R}$ by associating the foliation $S^1 \times \mathcal{F}^{\xi_i}$ to $A_i \times \mathbb{R}$ via $\varphi_i : S^1 \times [-\frac{1}{2}, +\frac{1}{2}] \rightarrow A_i \subset \Sigma$.



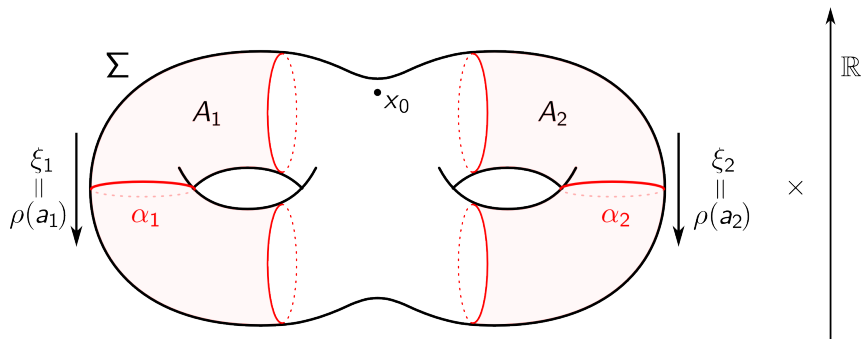
Definition. The global T -foliation \mathcal{F}_T is then given by

$$\mathcal{F}_T := (\coprod_{i=1}^n \mathcal{F}_T^i) \cup \mathcal{F}_{\hat{\Sigma} \times \mathbb{R}}^{\text{prod}} \quad \text{on } \Sigma \times \mathbb{R},$$

for $\hat{\Sigma} := \Sigma \setminus \coprod_{i=1}^n \overset{\circ}{A}_i$

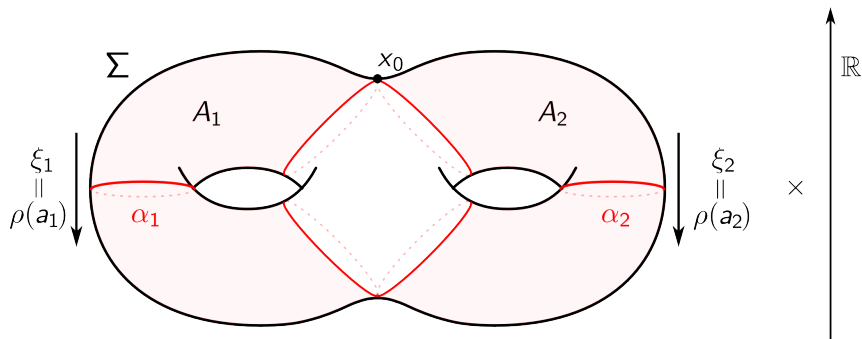
and $\mathcal{F}_{\hat{\Sigma} \times \mathbb{R}}^{\text{prod}}$ the product foliation on $\hat{\Sigma} \times \mathbb{R}$ by $\hat{\Sigma} \times \{\text{pt}\}$.

$T_\alpha := (\varphi, \xi)$ with triple $(\mathbf{A}, \alpha, \eta)$. (so $A_i = \bar{\nu}(\alpha_i)$).



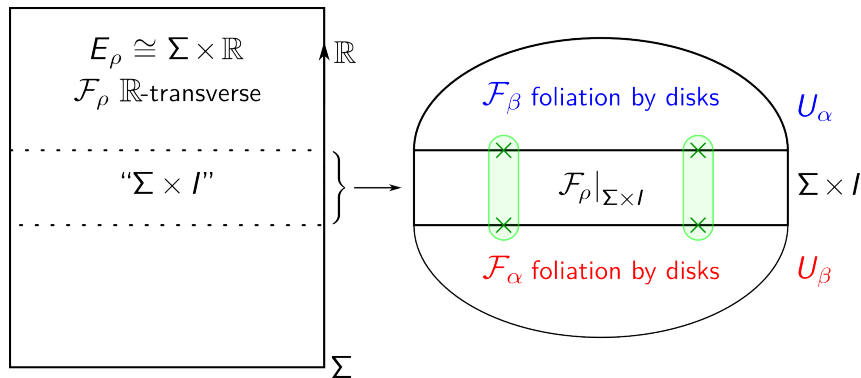
$$\langle a_1, a_2 \rangle / \ker \rho = \pi_1(\Sigma) / \ker \rho \implies \mathcal{F}_{T_\alpha} = \mathcal{F}_\rho.$$

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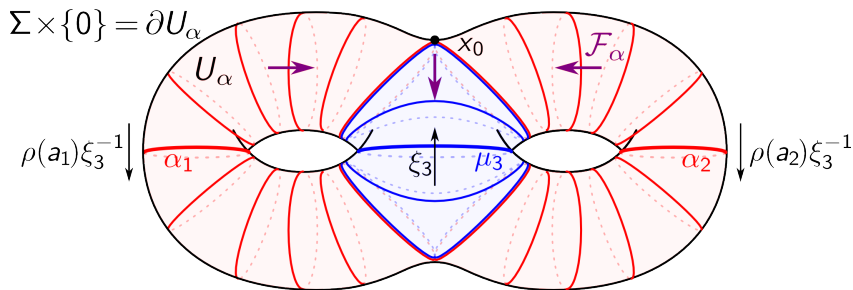
$T_\alpha := (\varphi, \xi)$ with triple $(\mathbf{A}, \alpha, \eta)$. (so $A_i = \bar{\nu}(\alpha_i)$).
 Recall:



- The \mathbb{R} -transverse foliation \mathcal{F}_ρ must admit sections $\mathcal{F}_{0,\alpha}$ and $\mathcal{F}_{0,\beta}$ that respectively extend to \mathcal{F}_α and \mathcal{F}_β . \implies *Foliation Templates*.

IV. Heegaard foliations. Handle-body foliations

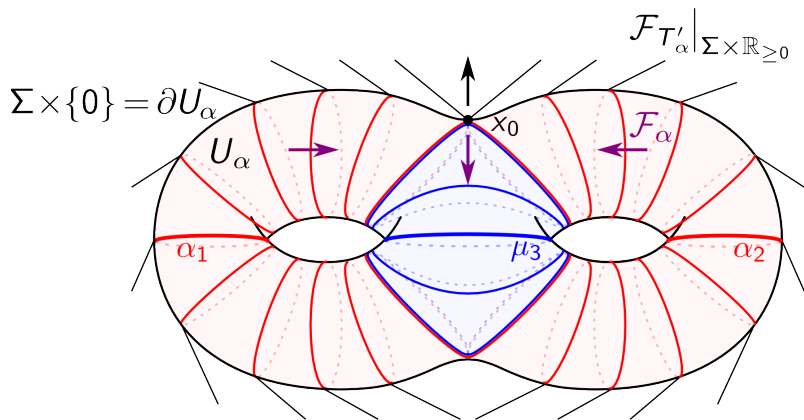
$$\mathcal{F}_\alpha|_{\partial U_\alpha} := \mathcal{F}_{T'_\alpha}|_{\Sigma \times \{0\}} = \text{“}\mathcal{F}_{\alpha,0}\text{”}.$$



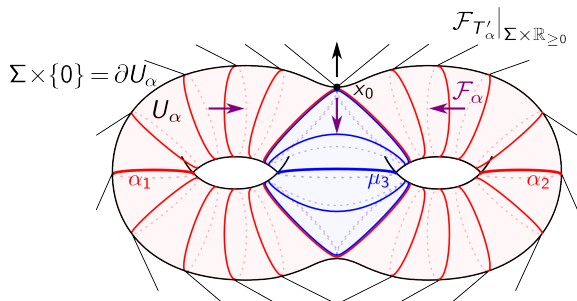
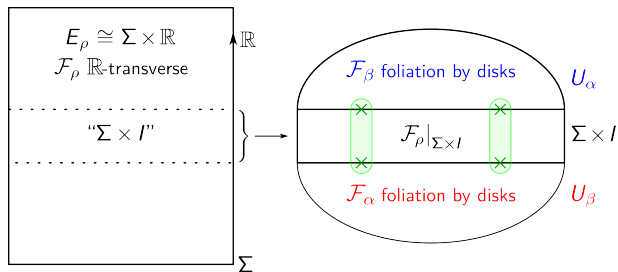
$$a_1, a_2 >_L 1 \implies \rho(a_1)(0), \rho(a_2)(0) > 0,$$

$$\xi_3 : t \mapsto t + \varepsilon \implies \xi_3(0) > 0,$$

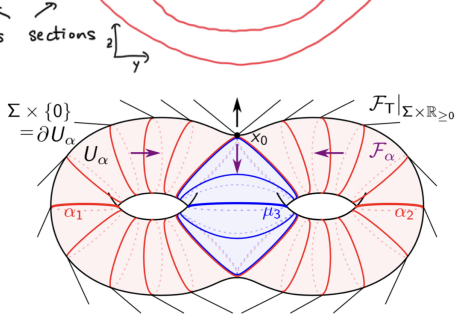
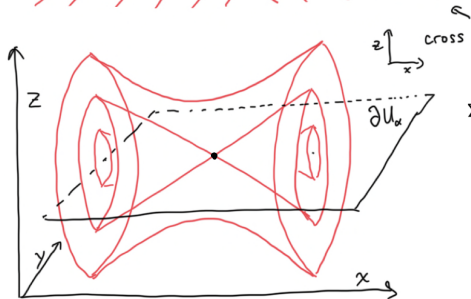
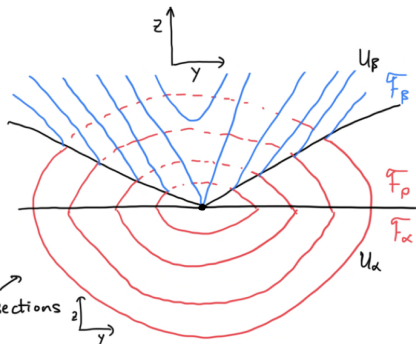
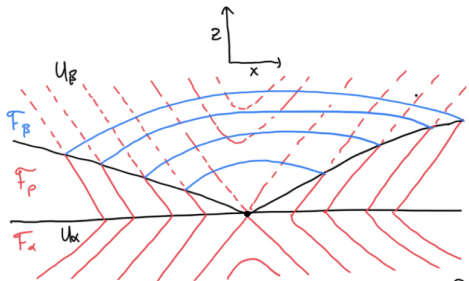
Coorientation of $\mathcal{F}_\alpha = \eta_i^{-1} = -(\text{Coorientation of } \mu_i).$



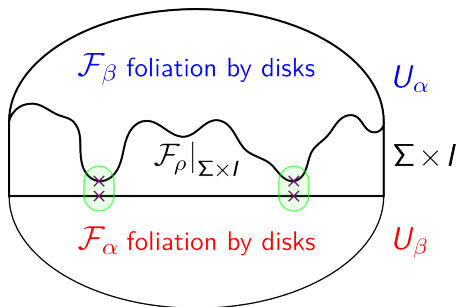
IV. Heegaard foliations. Singularities



IV. Heegaard foliations. Singularity cancellation

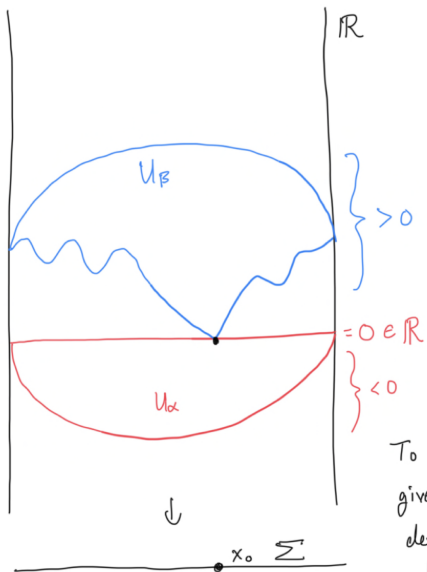


Recall:

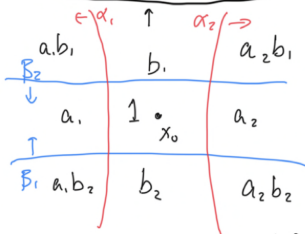
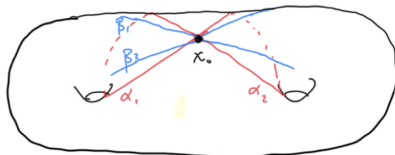


2. Singularities must be contained in special neighborhoods conducive to cancellation. \implies *Extremal regions*.

IV. Heegaard foliations. Extremal regions



$$\mathcal{H} = (\Sigma, \vec{\alpha}, \vec{\beta}, x_0)$$



To each region r , assign $g(r) \in \pi_1(M)$,
 given by the homotopy class of the knot
 determined by $x_0 +$ a pt in r . Then

$$\left[\begin{array}{l} \text{height of center of } r \\ \text{in } \partial U_\beta \end{array} \right] = \rho(g(r)).$$

IV. Heegaard foliations

Definition (Heegaard foliation)

We call the cooriented taut foliation we have just now constructed a *Heegaard foliation*.

Theorem (—R)

Suppose M is a prime closed oriented 3-manifold with an efficient Heegaard diagram of genus ≤ 2 . Then for any left order $>_L$ on $\pi_1(M)$, one can use \mathcal{H} and $>_L$ to build a Heegaard foliation on M .

Theorem (—R)

Suppose M is a prime closed oriented 3-manifold with an efficient Heegaard diagram of genus ≤ 2 . Then for any left order $>_L$ on $\pi_1(M)$,

Thanks!