

# The Fully Marked Surface Theorem

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Observation (Thurston 1976) If  $S \subset M^3$  is a compact leaf of a cod-1 foliation, then  $|E_{\mathcal{F}}([S])| = |\chi(S)|$ .

$E_{\mathcal{F}}$  := Euler class of the tangent bundle to the leaves.

Theorem (Thurston 1976) If  $\mathcal{F}$  also taut,  $M$  closed then  $S$  is Thurston Norm minimizing i.e. if  $T$  an incompressible surface and  $[T] = [S] \in H_2(M)$ , then  $|\chi(S)| \leq \chi(T)$ .

More general versions -  $\mathcal{F}$  Reebless

$M$  compact,  $M$  sutured manifold,  
 $S \subset$  leaf ...,  $S$  coherent union of leaves

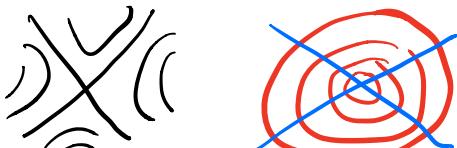
Idea of Proof - special case  $T$  connected

case 1)  $T$  is a leaf:

$$|\chi(S)| = |E_{\mathcal{F}}([S])| = |E_{\mathcal{F}}([T])| = |\chi(T)|$$

case 2) Roussette, Thurston  $\Rightarrow T$

can be isotoped to be either a leaf or  $\mathcal{F}$  except at finitely many saddle tangencies.



Define  $\mathcal{F}$ ,  
taut,  
except of  $T$ ,  
non example

$$|E_{\mathcal{F}}([S])| = |E_{\mathcal{F}}([\mathcal{T}])| = \left| \sum \sigma(x) \right| \leq \overline{\sum |\sigma(x)|} = |\mathcal{T}(T)|$$

$$\sigma(x) = \begin{cases} +1 & \text{if } T \text{ normal to } \mathcal{F} \text{ at } x \\ -1 & \text{otherwise} \end{cases}$$

Recall  $E_{\mathcal{F}}([\mathcal{T}])$  = obstruction to finding  
a section of  $T(\mathcal{T})/\mathcal{T}$ .

This argument shows that if  
 $T, \mathcal{F}$  are coherently oriented  
at all pts of tangency then  
 $T$  is norm minimizing.

We say such a  $T$  is fully marked  
-allow  $T$  also to be a leaf-

Theorem (G-Yazdi, Acta to appear)

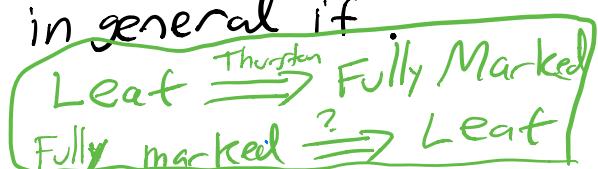
If  $S$  is a fully marked surface w.r.t.  
taut foliation  $\mathcal{F}$  of the closed hyperbolic  
3-manifold  $M$ , then  $\mathcal{F}$  taut  $\mathcal{F}'$  with  
 $T(\mathcal{F}') \cong T(\mathcal{F})$  and  $S'$  s.t.  $[S'] = [S]$  and  
 $S'$  is a union of leaves of  $\mathcal{F}'$ .

Need only  $M$  atoroidal.

Conjecture (G-Y) Theorem is an  
optimal converse to Thurston's

theorem, ie. false in general if

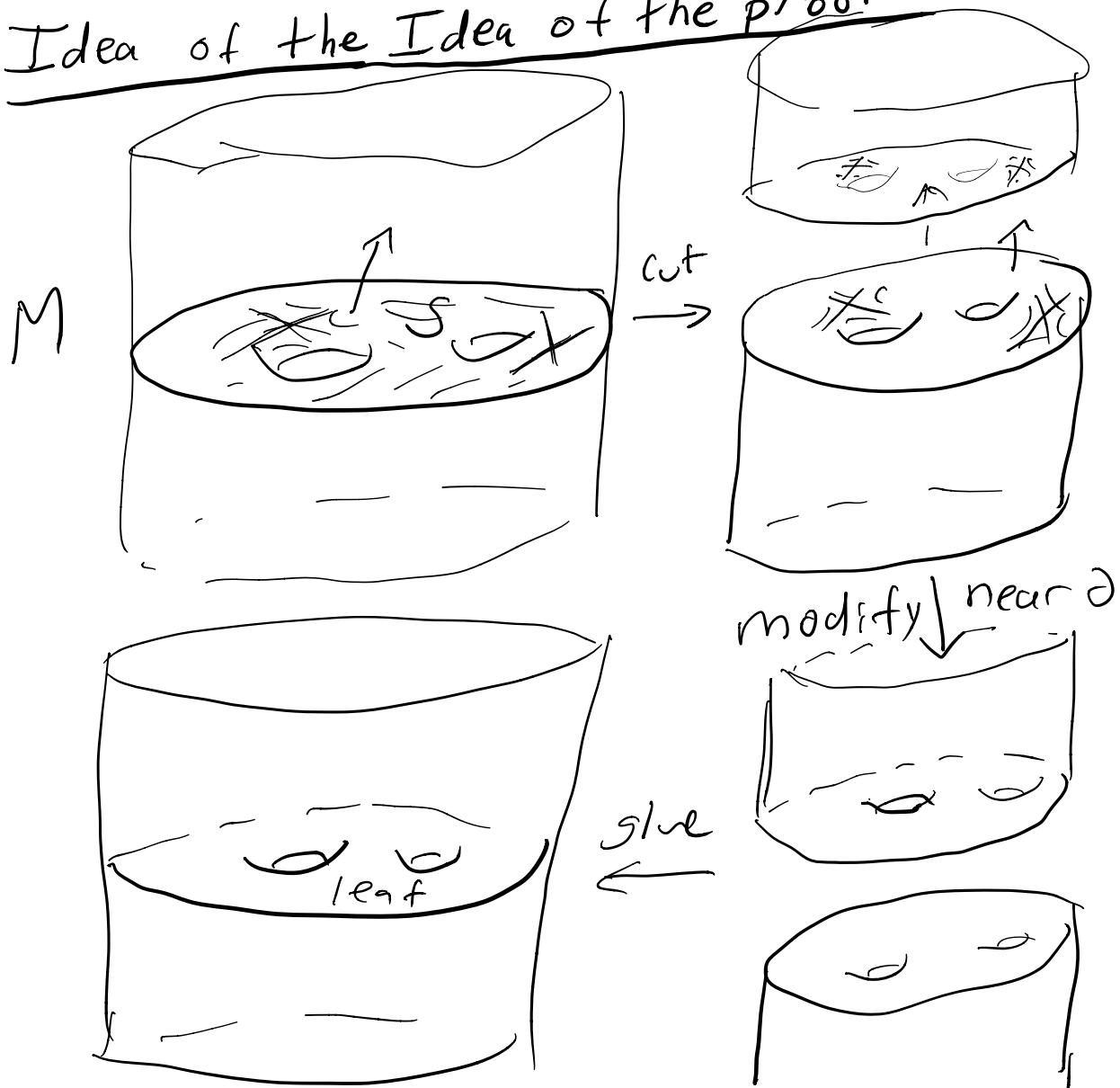
require  $S' = S$ .



Remark) Compact leaves of taut foliations of hyperbolic 3-manifolds can be eliminated by small perturbations of the foliation.

2) we think that the statement & proof should generalize to compact manifolds & sutured manifolds.

Idea of the Idea of the proof



$A$  is the set of annuli  $\partial N(L) - \overset{\circ}{N}(R)$ . Similarly let  $N_i = (S^3 - \overset{\circ}{N}(L_i)) - \overset{\circ}{N}(R_i)$  with  $\partial N_i = R_i^+ \cup R_i^- \cup A_i$ ,  $i = 1, 2$ . Assume that  $R$  is oriented so that the + side of  $D$  points into the ball containing  $R_1$  where  $D$  is the disc along which  $R_1$  and  $R_2$  were summed. Let  $E = (S^2 - D) \cap N$  where  $S^2$  is the sphere separating  $R_1$  and  $R_2$ . Let  $B_i$  be the ball bounded by  $S^2$  containing  $R_i$ .

**Key observation (Figure 1).** If  $P_i = (N - \overset{\circ}{N}(E)) \cap B_i$  then after a small isotopy

$$N_1 = P_1$$

$$R_1^- = R^- \cap P_1$$

$$R_1^+ = R^+ \cap P_1 \cup (N(E) \cap P_1 - \overset{\circ}{N}(E \cap R^-))$$

$$N_2 = P_2$$

$$R_2^+ = R^+ \cap P_2$$

$$R_2^- = R^- \cap P_2 \cup (N(E) \cap P_2 - \overset{\circ}{N}(E \cap R^+)).$$

Now  $\mathcal{F}$  induces a codimension 1 transversely oriented foliation  $\mathcal{G}$  on  $N$  so that  $\mathcal{G}$  is transverse to  $A$ , tangent to  $R^+ \cup R^-$ ,  $\mathcal{G}$  and  $\mathcal{G}|A$  have no Reeb components and  $\mathcal{F}$  is obtained from  $\mathcal{G}$  by gluing  $R^+$  to  $R^-$ . By construction  $E$  is transverse to  $\mathcal{G}$  in a neighborhood of  $\partial E$ . By Thurston [T-1] or Roussette [R] and the Poincaré Hopf index formula one can isotope  $E$  so that  $E$  is transverse to  $\mathcal{G}$  except along a finite number of saddle tangencies whose indices add up to  $n-1$ , if  $E$  is a  $2n$  gon. Here we use the fact that  $\mathcal{F}$  has no Reeb components. We will now construct the desired foliation on  $S^3 - \overset{\circ}{N}(L_1)$ . The other case is similar. Define  $\mathcal{G}_1 = \mathcal{G}|N_1$ .  $\mathcal{G}_1$  is a singular foliation. Our goal is to heal the scar.

**LEMMA.** *If  $x$  is a point of  $E$  tangent to  $\mathcal{G}_1$ , then the normal to  $\mathcal{G}_1$  at  $x$  points out of  $N_1$ .*

**PROOF.** It follows from the Poincaré Hopf formula that if  $M$  is a 3-manifold and  $\vec{X}$  is a non-singular vector field pointing normal to  $\partial M$ , then  $\chi(\partial_+) = \chi(\partial_-)$

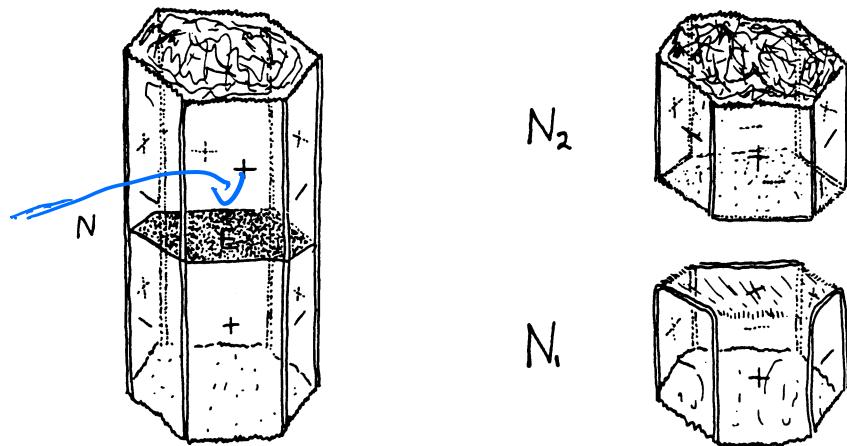


FIGURE 1

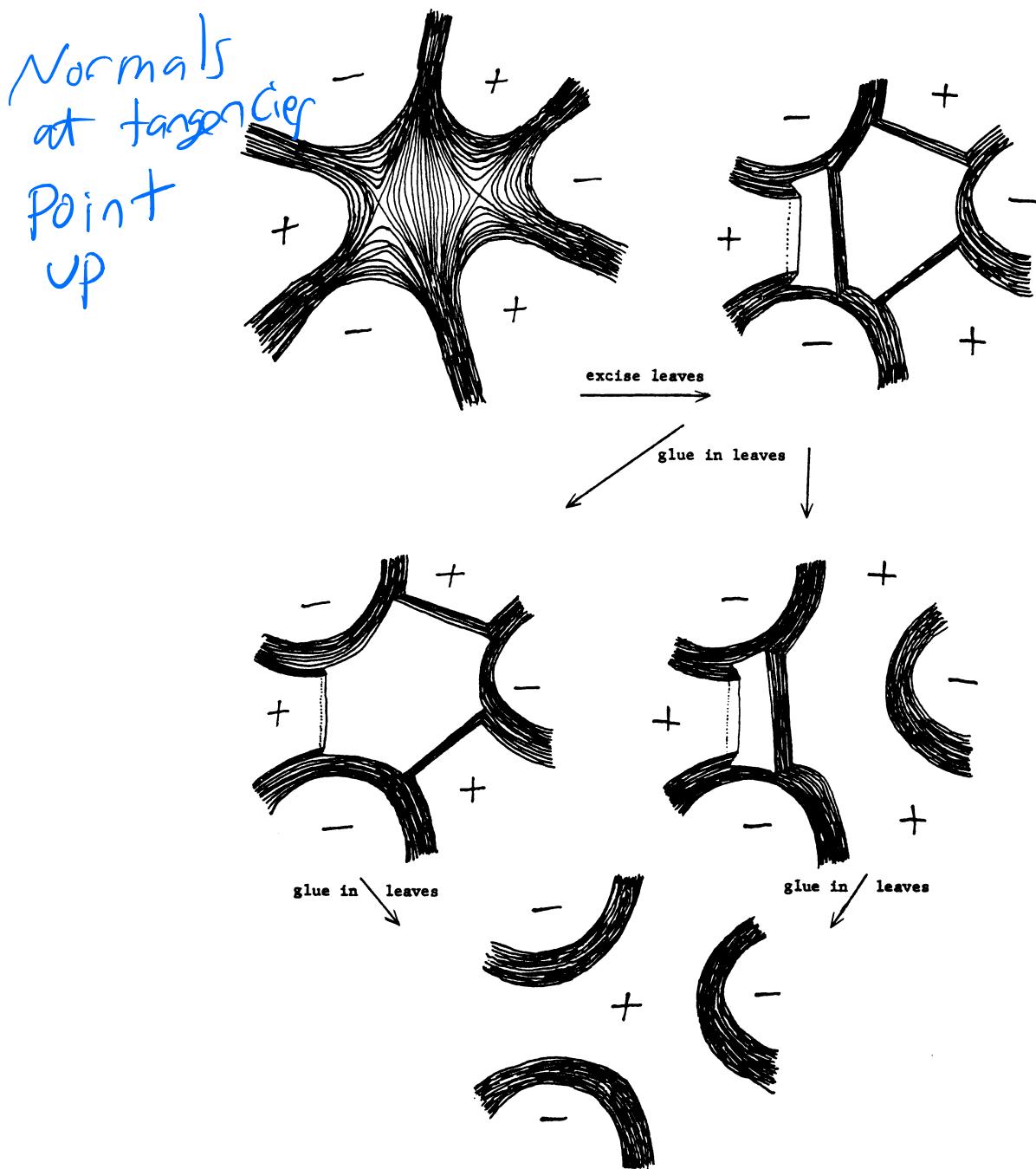


FIGURE 3

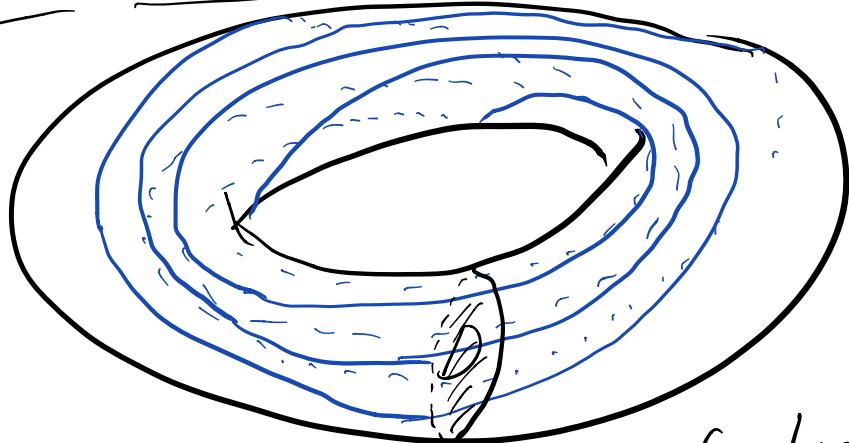
Application The fully marked surface theorem enables "Hierarchical decomposition" of a taut foliation to smaller pieces via sutured manifold decomposition.

E.g. (1985)



If  $K = \partial S$  is a fibered link with fiber  $S$  then for  $i=1,2$   $K_i = \partial S_i$  is a fibered link with fiber  $S_i$ . The monodromy of  $S$  =  $f_2 \circ f_1$  where  $f_i$  is the monodromy of  $S_i$ .

Brilliant observation  
of Mehdi Yazdi (Acta Math  
to appear)



$(Z, 6)$   
sutured  
solid  
torus  
 $(M, \sigma)$

If  $\mathcal{F}$  is a taut foliation  
on  $(M, \sigma)$  then  $D$  is  
fully marked (up to isotopy)

Conjecture (Thurston 1976) If  $M^3$  is a toroidal  
 $\alpha \in H^2(M, \mathbb{Z})$ ,  $\chi^*(\alpha) = 1$  then there is some  
[taut] foliation  $\mathcal{F}$  such that  $E_{\mathcal{F}} = \alpha$

Theorem (G-Yazdi) There  
are  $\exists$  only many closed hyperbolic  
 $3$ -manifolds  $M$  with  $z \in H^2(M, \mathbb{Z})$   
 $z \in$  dual thurston unit ball  
s.t.  $z$  satisfies parity condition  
but  $z \neq E_{\mathcal{F}}$  for any taut  $\mathcal{F}$ .

Idea Step 1 (Yazdi) Construct  
candidate  $M, z \in H^2(M, \mathbb{Z})$

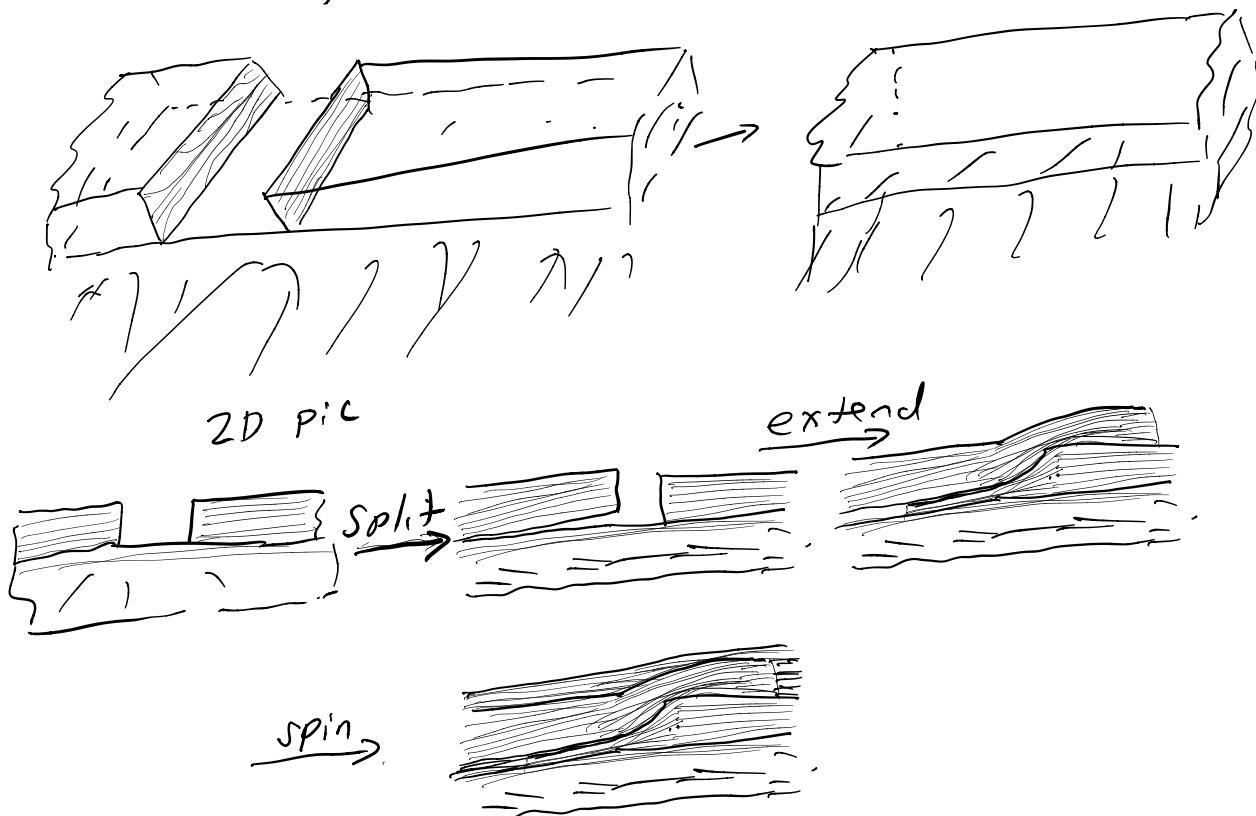
Step 2 use Fully marked surface  
theorem to reduce  $\mathcal{F}$  to  
a taut  $\mathcal{F}_1$  on  $(6, 2)$ -solid  
torus s.t.  $D$  not fully marked.

Step 3 (Yazdi)  $\mathcal{F}_1$  does not exist.

# Idea of proof of FMST

## A) Some technique

- i) After splitting along  $N(S)$   
only add bits of leaves to make  
 $\exists$  locally horizontal and vertical
- ii) Extending across annular ditches



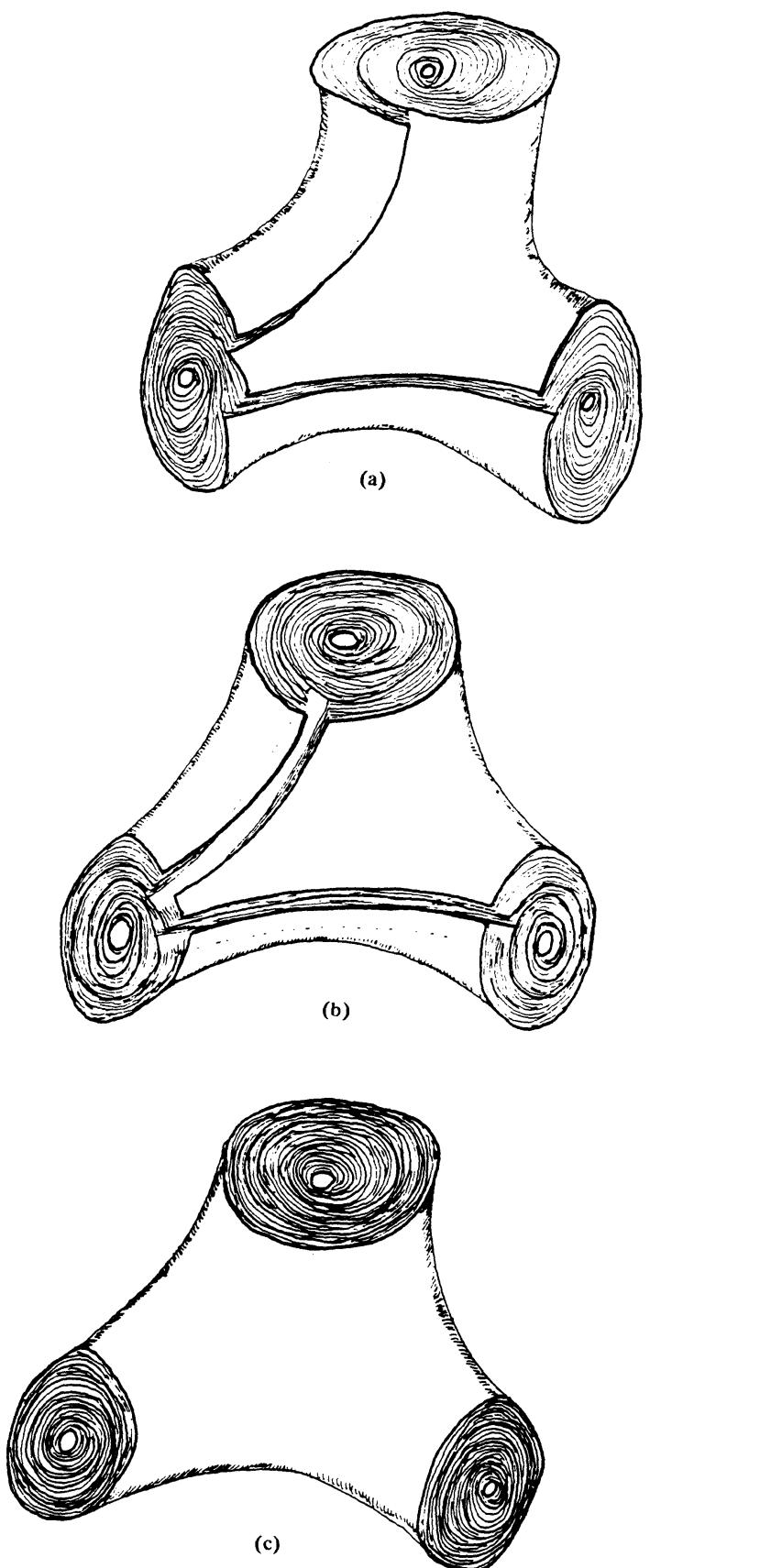


FIG. 5.7

+ other operations - - -

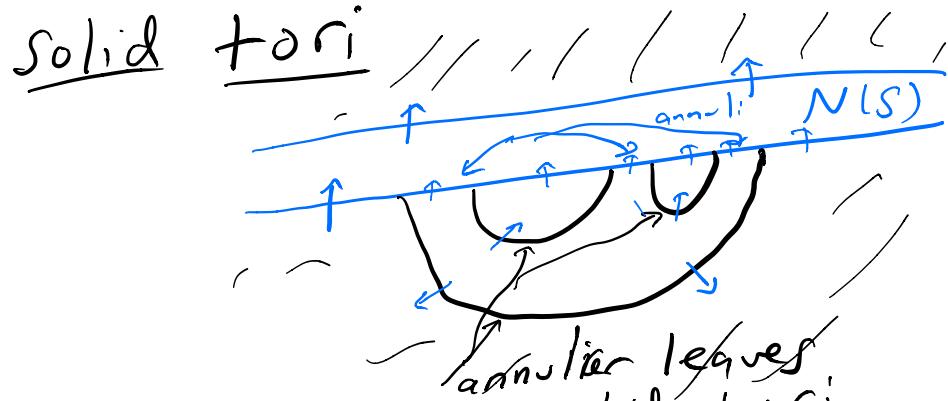
B) Keeping track of the  
i) homotopy class and ii) tautness

i) Find a vector field  $X \pitchfork \mathcal{F}$   
and  $\mathcal{F}|S$  coherently.

ii) Find  $\gamma_1, \dots, \gamma_n$  simple closed  
curves in  $M$  s.t.  $\gamma_i$  coherently  $\pitchfork \mathcal{F}$   
and  $S$  and every leaf of  $\mathcal{F}|M-N(S)$  hit  
by some  $\gamma_i$ .

- All operations add stuff  
to  $\mathcal{F}|M-N(S)$  transverse to  $X$ .  
and  $\mathcal{F}$  to the  $\gamma_i$ 's.

C) Proposition Coherent transversals  
exist  $\iff$  there are no bad



D) Eliminate bad solid tori  
by replacing  $S$  by  $S'$   
where  $[S] = [S']$