## 17 First Order Logic

**Definition 17.1.** The *alphabet* of a first order language  $\mathcal{L}$  consists of:

A. Symbols common to all languages (Logical Symbols)

- (a) Parentheses (,)
- (b) Connectives  $\rightarrow, \neg$
- (c) Variables  $v_1, v_2, \ldots, v_n, \ldots, n \ge 0$
- (d) Quantifier  $\forall$
- (e) Equality symbol =
- B. Symbols particular to the language (Non-logical Symbols)
  - (a) For each  $n \ge 1$ , a (possibly empty) countable set of *n*-place predicate symbols.
  - (b) A (possibly empty) countable set of constant symbols.
  - (c) For each  $n \ge 1$ , a (possibly empty) countable set of *n*-place function symbols.

**Remark 17.2.** It is easily checked that the alphabet is countable.

**Definition 17.3.** An *expression* is a finite sequence of symbols from the alphabet.

**Remark 17.4.** The set of expressions is countable.

**Definition 17.5.** The set of terms is defined inductively as follows:

- 1. Each variable and each constant symbol is a term.
- 2. If f is an n-place function symbol and  $t_1, \ldots, t_n$  are terms, then  $ft_1 \ldots t_n$  is a term.

**Definition 17.6.** An *atomic formula* is an expression of the form

 $Pt_1 \dots t_n$ 

where P is an n-place predicate symbol and  $t_1, \ldots, t_n$  are terms.

**Remark 17.7.** The equality symbol = is a two-place predicate symbol. Hence every language has atomic formulas.

**Definition 17.8.** The set of *well-formed formulas* (wffs) is defined inductively as follows:

- 1. Every atomic formula is a wff.
- 2. If  $\alpha$  and  $\beta$  are wffs and v is a variable, then

$$(\neg \alpha), (\alpha \rightarrow \beta), \text{ and } \forall v \alpha$$

are wffs.

2006/03/20

## Some abbreviations We usually write

$$\begin{array}{ll} (\alpha \lor \beta) & \text{instead of} & ((\neg \alpha) \rightarrow \beta) \\ (\alpha \land \beta) & " & (\neg (\alpha \rightarrow (\neg \beta))) \\ \exists v \alpha & " & (\neg \forall v (\neg \alpha)) \\ u = t & " & = ut \\ u \neq t & " & (\neg = ut) \end{array}$$

We also use common sense in our use of parentheses.

**Definition 17.9.** Let x be a variable.

- 1. If  $\alpha$  is atomic, then x occurs free in  $\alpha$  iff x occurs in  $\alpha$ .
- 2. x occurs free in  $(\neg \alpha)$  iff x occurs free in  $\alpha$ .
- 3. x occurs free in  $(\alpha \rightarrow \beta)$  iff x occurs free in  $\alpha$  or x occurs free in  $\beta$ .
- 4. x occurs free in  $\forall v \alpha$  iff x occurs free in  $\alpha$  and  $x \neq v$ .

**Definition 17.10.** The wff  $\sigma$  is a *sentence* iff  $\sigma$  has no free variables.

## 18 Truth and Structures

**Definition 18.1.** A structure  $\mathcal{A}$  for the first order language  $\mathcal{L}$  consists of:

- 1. a non-empty set A, the *universe* of A.
- 2. for each *n*-place predicate symbol P, an *n*-ary relation  $P^{\mathcal{A}} \subseteq A^n$ .
- 3. for each constant symbol c, an element  $c^{\mathcal{A}} \in A$ .
- 4. for each function symbol f, an *n*-ary operation  $f^{\mathcal{A}} \colon A^n \to A$ .

**Example 18.2.** Suppose that  $\mathcal{L}$  has the following non-logical symbols:

- 1. a 1-place predicate symbol S
- 2. a 2-place predicate symbol R
- 3. a constant symbol c
- 4. a 1-place function symbol f.

Then we can define a structure

$$\mathcal{A} = \langle A; S^{\mathcal{A}}, R^{\mathcal{A}}, c^{\mathcal{A}}, f^{\mathcal{A}} \rangle$$

for  $\mathcal{L}$  as follows:

1.  $A = \{1, 2, 3, 4\}$ 2.  $S^{\mathcal{A}} = \{\langle 2 \rangle, \langle 3 \rangle\}$ 3.  $R^{\mathcal{A}} = \{\langle 1, 2 \rangle, \langle 2, 3 \rangle, \langle 3, 4 \rangle, \langle 4, 1 \rangle\}$ 4.  $c^{\mathcal{A}} = 1$ 5.  $f^{\mathcal{A}} \colon A \to A$  where  $1 \mapsto 2, 2 \mapsto 3, 3 \mapsto 4$ , and  $4 \mapsto 1$ .

**Target** Let  $\mathcal{L}$  be any first order language. For each sentence  $\sigma$  and each structure  $\mathcal{A}$  for  $\mathcal{L}$ , we want to define

 $\mathcal{A} \models \sigma$ 

" $\mathcal{A}$  satisfies  $\sigma$ " or " $\sigma$  is true in  $\mathcal{A}$ ".

**Example 18.3 (Example Cont.).** Let  $\sigma$  be the sentence

$$\forall x \forall y (fx = y \rightarrow Rxy)$$

Clearly

 $\mathcal{A} \models \sigma$ .

First we need to define a more involved notion. Let

- $\varphi$  be a wff
- $\mathcal{A}$  be a structure for  $\mathcal{L}$
- $s: V \to A$  be a function, where v is the set of variables.

Then we will define

$$\mathcal{A} \models \varphi[s]$$

" $\varphi$  is true in  $\mathcal{A}$  if each free occurrence of x in  $\varphi$  is interpreted as s(x) in  $\mathcal{A}$ ."

## Step 1

Let T be the set of terms. We first define an extension  $\bar{s}: T \to A$  as follows:

- 1. For each variable  $v \in V$ ,  $\bar{s}(v) = s(v)$ .
- 2. For each constant symbol  $c, \bar{s}(c) = c^{\mathcal{A}}$ .

3. If f is an n-place function symbol and  $t_1, \ldots, t_n$  are terms, then

$$\bar{s}(ft_1\ldots t_n) = f^{\mathcal{A}}(\bar{s}(t_1),\ldots,\bar{s}(t_n)).$$

Step 2 Atomic formulas.

- (a).  $\mathcal{A} \models = t_1 t_2[s]$  iff  $\bar{s}(t_1) = \bar{s}(t_2)$ .
- (b). If P is an n-place predicate symbol different from = and  $t_1, \ldots, t_n$  are terms, then

$$\mathcal{A} \models Pt_1 \dots t_n[s] \text{ iff } \langle \bar{s}(t_1), \dots, \bar{s}(t_n) \rangle \in P^{\mathcal{A}}$$

Step 3 Other wffs.

(c). A ⊨ (¬α)[s] iff A ⊭ α[s].
(d). A ⊨ (α→β)[s] iff A ⊭ α[s] or A ⊨ β[s].
(e). A ⊨ ∀xα[s] iff for all a ∈ A, A ⊨ α[s(x|a)] where s(x|a) is defined by s(x|a)(y) = s(y), y ≠ x

**Theorem 18.4.** Assume that  $s_1, s_2: V \to A$  agree on all free variables (if any) of the wff  $\varphi$ . Then

= a, y = x

$$\mathcal{A} \models \varphi[s_1] \quad iff \quad \mathcal{A} \models \varphi[s_2].$$

Proof slightly delayed.

**Corollary 18.5.** If  $\sigma$  is a sentence, then either

- 1.  $\mathcal{A} \models \sigma[s]$  for all  $s \colon V \to A$  or
- 2.  $\mathcal{A} \not\models \sigma[s]$  for all  $s \colon V \to A$ .

**Definition 18.6.** Let  $\sigma$  be a sentence. Then  $\mathcal{A} \models \sigma$  iff  $\mathcal{A} \models \sigma[s]$  for all  $s: V \to A$ .

**Exercise 18.7.** Let  $\mathcal{A}$  be a structure and let t be a term. If  $s_1, s_2 \colon V \to A$  agree on all variables (if any) in t, then  $\bar{s_1}(t) = \bar{s_2}(t)$ .

Proof of Theorem 18.4. We argue by induction on the complexity of  $\varphi$ .

**Case 1** Suppose that  $\varphi$  is an atomic formula. First suppose that  $\varphi$  is  $= t_1 t_2$ . By the Exercise,  $\bar{s_1}(t_1) = \bar{s_2}(t_1)$  and  $\bar{s_1}(t_2) = \bar{s_2}(t_2)$ . Hence

$$\mathcal{A} \models = t_1 t_2[s_1] \quad \text{iff} \quad \bar{s_1}(t_1) = \bar{s_1}(t_2)$$
$$\text{iff} \quad \bar{s_2}(t_1) = \bar{s_2}(t_2)$$
$$\text{iff} \quad \mathcal{A} \models = t_1 t_2[s_2].$$

Next suppose that  $\varphi$  is  $Pt_1 \dots t_n$ . Again by the Exercise,  $\bar{s}_1(t_i) = \bar{s}_2(t_i)$  for  $1 \le i \le n$ . Hence

$$\begin{split} \mathcal{A} &\models Pt_1 \dots t_n[s_1] \quad \text{iff} \quad \langle \bar{s_1}(t_1), \dots, \bar{s_1}(t_n) \rangle \in P^{\mathcal{A}} \\ & \text{iff} \quad \langle \bar{s_2}(t_1), \dots, \bar{s_2}(t_n) \rangle \in P^{\mathcal{A}} \\ & \text{iff} \quad \mathcal{A} \models Pt_1 \dots t_n[s_2]. \end{split}$$

**Case 2** Suppose that  $\varphi$  is  $(\neg \psi)$ . Then  $s_1, s_2$  agree on the free variables of  $\psi$ . Hence

$$\mathcal{A} \models (\neg \psi)[s_1] \quad \text{iff} \quad \mathcal{A} \not\models \psi[s_1] \\ \text{iff} \quad \mathcal{A} \not\models \psi[s_2] \text{ by ind. hyp.} \\ \text{iff} \quad \mathcal{A} \models (\neg \psi)[s_2].$$

**Case 3** A similar argument deals with the case when  $\varphi$  is  $(\psi \rightarrow \theta)$ .

**Case 4** Suppose that  $\varphi$  is  $\forall x\psi$ . Then  $s_1, s_2$  agree on all free variables of  $\psi$  except possibly x. Hence for all  $a \in A$ ,  $s_1(x|a)$  and  $s_2(x|a)$  agree on all free variables of  $\psi$ . Thus

$$\mathcal{A} \models \forall x \psi[s_1] \quad \text{iff} \quad \text{for all } a \in A, \ \mathcal{A} \models \psi[s_1(x|a)]$$
$$\text{iff} \quad \text{for all } a \in A, \ \mathcal{A} \models \psi[s_2(x|a)]$$
$$\text{iff} \quad \mathcal{A} \models \forall x \psi[s_2].$$