

MA4J2 Three Manifolds

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Lecture 22

Notation. Suppose F is not orientable. Let $F \tilde{\times} I$ denote the orientation I -bundle over F . Likewise define $F \tilde{\times} S^1$.

Exercise 22.1. Show that $P^2 \tilde{\times} I$ is homeomorphic to $P^3 - \text{int}(B^3)$.

We now discuss orbifolds.

Definition 22.1. We say that $B = (S, Z)$ is an 2 -orbifold if S is a surface and $Z \subset \text{int}(S)$ is a finite set such that for every $z \in Z$ we have an *order* $p_z \in \mathbb{Z}_+$. We call Z the *singular set*. A point $z \in Z$ is a *cone point* if $p_z > 1$.

Example 22.1. A surface is an orbifold with $Z = \emptyset$.

Example 22.2. The square pillow case, $S^2(2, 2, 2, 2)$, shown in Figure 1, is an orbifold.

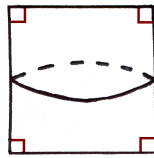


Figure 1: A picture of the square pillow case $S^2(2, 2, 2, 2)$.

Definition 22.2. If S is a surface with a triangulation T then we define the *Euler characteristic* of S to be $\chi(S) = V - E + F$ where V denotes the number of vertices, E the number of edges and F the number of triangles (faces).

Exercise 22.2. Show that χ stays unchanged under the Pachner moves. Figure 2 shows the Pachner moves. Since any two triangulations of a fixed closed surface are related by Pachner moves, the Euler characteristic is independent of the choice of T .

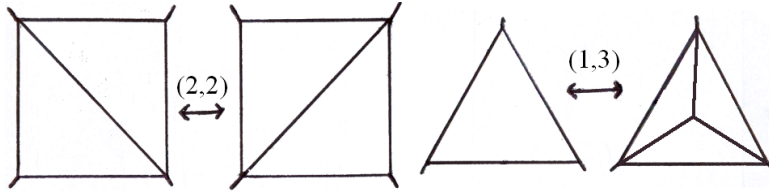


Figure 2: The Pacher moves.

Example 22.3. You can see by the triangulation shown in Figure 3(a) that $\chi(S^2) = 4 - 6 + 4 = 2$. Similarly, Figure 3(b) shows that $\chi(T^2) = 1 - 3 + 2 = 0$.

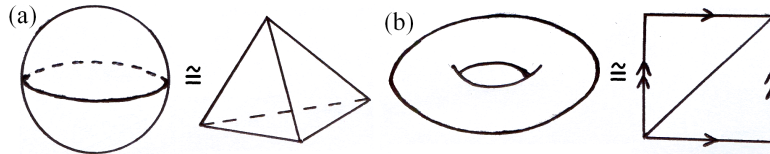


Figure 3: (a) A triangulation of a 2-sphere. (b) A triangulation of the 2-dimensional torus.

Definition 22.3. We define the *Euler characteristic* of an orbifold via

$$\chi_{\text{orb}}(B) = \chi(S) + \sum_{z \in Z} \left(\frac{1}{p_z} - 1 \right).$$

Example 22.4. $\chi_{\text{orb}}(S^2(2, 2, 2, 2)) = 2 + 4(1/2 - 1) = 0$.

Exercise 22.3. List all 2-orbifolds B so that $\chi_{\text{orb}}(B) = 0$.

Exercise 22.4. What can you say about B so that $\chi_{\text{orb}}(B) > 0$?

Orbifold Covers

Example 22.5. The map from $D \subset \mathbb{C} \rightarrow D$ which sends z to z^n is an orbifold map of order n . In Figure 4, $n = 3$.

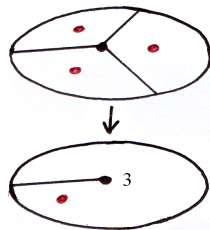


Figure 4: The map $z \mapsto z^3$ from $D \subset \mathbb{C}$ to itself is a three-fold cover.

Definition 22.4. If C, B are 2-orbifolds then $\varphi: C \rightarrow B$ is a *cover* if

1. $\varphi^{-1}(Z_B) = Z_C$,
2. $\varphi|(C - Z_C): C - Z_C \rightarrow B - Z_B$ is a d -fold cover and
3. for every point $z \in Z_B$, we have $d/p_z = \sum_{y \in \varphi^{-1}(z)} 1/p_y$.

Note that φ restricted to any regular neighbourhood of a point $z \in Z_C$ is modelled on the example $z \mapsto z^n$.

Example 22.6. The quotient of T^2 via the 180° rotation shown in Figure 5 is a degree two orbifold cover.

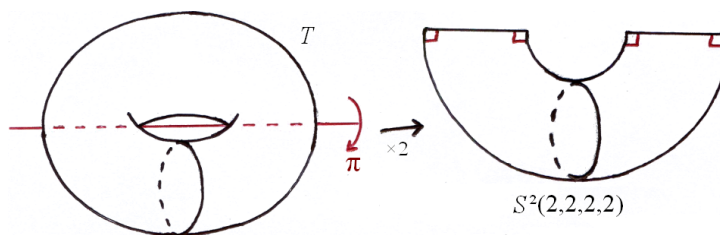


Figure 5: The quotient map of the 2-dimensional torus via the 180° rotation.

Exercise 22.5. Show that if $\varphi: C \rightarrow B$ is a d -fold orbifold cover then $\chi_{\text{orb}}(C) = d \cdot \chi_{\text{orb}}(B)$. As warm-up, show that if $\varphi: T \rightarrow S$ is a d -fold cover of surfaces then $\chi(T) = d \cdot \chi(S)$.

Exercise 22.6. List all 2-fold covers of $S^2(2, 2, 2, 2)$.

The following question is known as the *Hurwitz problem* and still open in general: Given B, C such that $\chi_{\text{orb}}(C)/\chi_{\text{orb}}(B) \in \{2, 3, 4, \dots\}$ does there exist a d -fold cover?

Example 22.7. For $n \geq 2$, $S^2(n)$ is a *bad orbifold*, meaning it is not covered by a surface. Hence $S^2(n)$ is not covered by S^2 . You can also see this because $2/(2 + (1/n - 1)) \notin \mathbb{N}$.

We now return to our original topic, horizontal surfaces. Suppose that $S \subset (M, \mathcal{F})$ is horizontal. As in the proof of Lemma 21.4, we may form $M \supset M_0 \supset M_1$ and $S \supset S_0 \supset S_1$. Let λ be any generic fibre and $d = |S \cap \lambda|$, so S_1 is a collection of d horizontal disks. Recall that Z is the set of all singular fibres. Thus $S \cap (N(Z))$ is also a collection of disks. Then S is formed by gluing horizontal disks along horizontal loops in $\partial N(Z)$ and horizontal arcs in A . Thus the quotient $\rho: M \rightarrow M/S^1 = B$ restricts to S to give a d -fold cover $\rho: S \rightarrow B$. So

$$\chi(S) = d \cdot \chi_{\text{orb}}(B) = d \cdot \left(\chi(B) + \sum_{z \in Z} \left(\frac{1}{p_z} - 1 \right) \right).$$

Proof. See Hatcher. □

Lecture 23

To answer the question of a student, we will expand the definition of a *boundary compression*.

Definition 23.5. Suppose $S \subset \partial M$ is a subsurface. Then we say S is *boundary compressible* if there is a bigon D with $\partial D = \alpha \cup \beta$ so that $D \cap S = \alpha$, $D \cap \overline{\partial M - S} = \beta$ and α does not cut a bigon out of S . Say that S is *boundary incompressible* if no such bigon exists.

Now we continue our discussion of horizontal surfaces. Suppose that $S \subset (M, \mathcal{F})$ is two-sided, horizontal and connected. Then we get the following corollary of Proposition 21.3 (1.11 in Hatcher).

Corollary 23.1. *The manifold $M - n(S)$ is an I -bundle.*

Proof sketch. Recall that S_1 was a collection of horizontal disks in $M_1 \cong D \times S^1$. So $n(S_1)$ cuts M_1 into cylinders foliated by intervals. The vertical sides of these solid cylinders glue to give the desired I -bundle. \square

Let $\rho: M - n(S) \rightarrow F$ be the I -bundle map. Then there are two cases.

1. The manifold $M - n(S)$ is connected. So $M - n(S) \cong S \times I$ and thus $\partial_h(M - n(S)) = S \sqcup S$ and so $F \cong S$ and we find that M is an S -bundle over S^1 . See Figure 6.

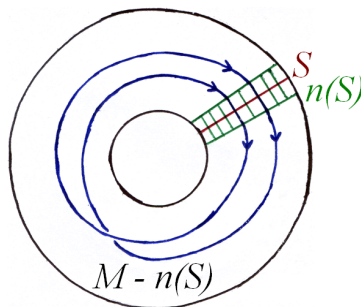


Figure 6: A picture of $M - n(S)$ as an S -bundle over S^1 . The blue curve represents a generic fibre.

So the I -fibres in $N(S)$ and in $M - n(S)$ glue to give the Seifert fibring, \mathcal{F} . I.e., there is a *monodromy* (a homeomorphism $\varphi: S \rightarrow S$) such that $M \cong S \times I / (x, 1) \sim (\varphi(x), 0) =: M_\varphi$ and finally $S / \varphi \cong B$. The monodromy is *periodic* of period $d = |S \cap \lambda|$, i.e. $\varphi^d = \text{Id}_S$. See Figure 7.

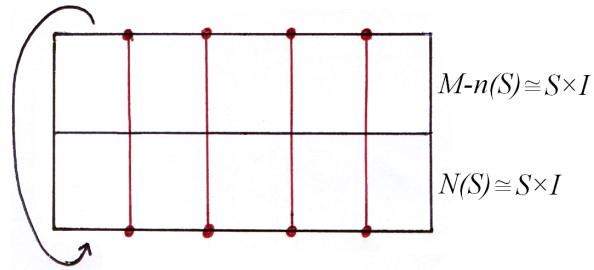


Figure 7: $M = (M - n(S)) \cup N(S) \cong M_\varphi$. Here φ has periodicity 4.

Example 23.8. Let φ be the hyperelliptic involution on the 2-torus shown in Figure 5. This is periodic.

Example 23.9. Glue the cube as shown in Figure 8 and note that planes parallel to the xy -plane glue to give tori.

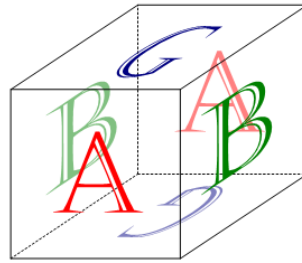


Figure 8: A cube with face pairings. The front and back are glued by the identity as are the left and right face. The bottom and top face are glued together by a 180° rotation.

Note that intervals parallel to the z -axis glue to give circles, 4 of length 1 and the rest of length 2.

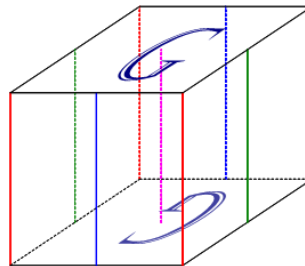


Figure 9: A picture of the different circles achieved by gluing intervals parallel to the z -axis. The gluings of the vertical faces are the same as in Figure 8 and are omitted.

All of the singular fibres in Figure 9 have length one, while all other vertical circles have length two. All other vertical circles have length 2. So $B \cong S^2(2, 2, 2, 2)$ is the base orbifold, double covered by double covered by any horizontal surface, all of which are tori. See Figure 10.

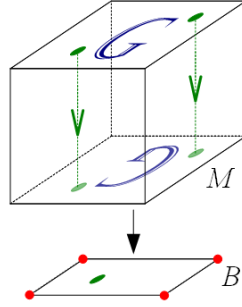


Figure 10: The base orbifold is a copy of the square pillow case: $B \cong M/S^1 \cong S^2(2, 2, 2, 2)$, and double covered by T .

2. If $M - n(S)$ has two components then each is a twisted I -bundle over F and these glue to $N(S) \cong S \times I$ giving a *semibundle* (also called a *fibroid*). See Figure 11.

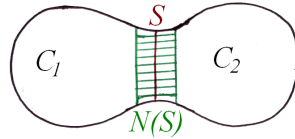


Figure 11: A picture of the two twisted I -bundles over F .

So letting T_1 and T_2 be the two I -bundles, we obtain M by gluing T_1 and T_2 to $N(S)$ and find involutions $\tau_i: S \rightarrow S$ such that $T_i = S \times I / (x, 0) \sim (\tau_i(x), 0)$. Here the homeomorphism $\varphi = \tau_1 \circ \tau_2$ is again *periodic*.

Example 23.10. As an exercise, we showed that $P^3 - \text{int}(B^3) = P^2 \times I$. Here $\partial_h T \cong S^2$ and the involution τ is the antipodal map. So if we consider $T_1 \cup_S T_2$ where $T_i \cong P^2 \times I$, we find that $P^3 \# P^3$ is Seifert fibred. Check that $\tau_1 \circ \tau_2 = \tau^2 = \varphi = \text{Id}_S$ and so it is periodic.

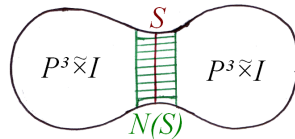


Figure 12: A picture of the gluing of $T_1 \cup_S T_2$.

Example 23.11. Consider the cube with face pairings given in Figure 3. Notice that the intervals parallel to the x -axis also define a Seifert fibering with $B = K^2$, the Klein bottle, and all fibres are generic, as in Figure 13(a). The planes $y = 1/4$ and $y = 3/4$ define a 2-torus $S \subset M$ and $M - n(S)$ has two components, both homeomorphic to $K^2 \times I$.

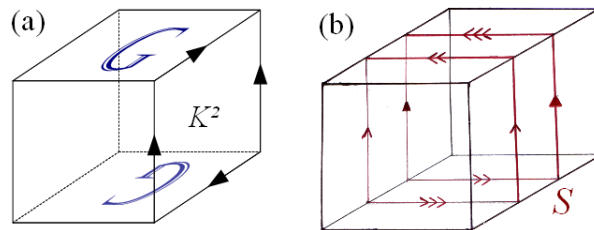


Figure 13: (a) Intervals parallel to the x -axis give a fibering with $B = K^2$. (b) Both components of $M - n(S)$ are homeomorphic to $K^2 \times I$.

Exercise 23.7. Check that these planes give a 2-torus with the claimed properties. Find the involutions τ_1, τ_2 .

Lecture 24

Recall that every essential arc in $A^2 \cong S^1 \times I$ is isotopic to $\{\text{pt}\} \times I$, as in Figure 14.

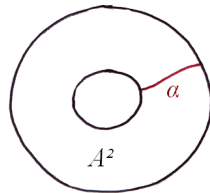


Figure 14: An essential arc in an annulus.

Exercise 24.8. Classify up to isotopy the essential arcs and loops in $\#_3 D^2$, the pair of pants.

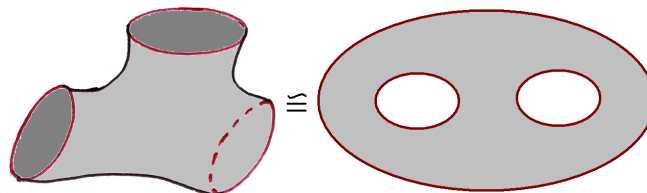


Figure 15: Two diagrams of the Pair of Pants.

Recall that if $X = X_K$ where $K = K_{p,q}$ is the (p, q) -torus knot then $B = X/S^1$ is the orbifold $D^2(p, q)$.

Exercise 24.9. Classify essential arcs and loops in $D(p, q)$. Deduce that the only essential vertical annulus in X is $A = V_K \cap W_K$. (Care is required if p or q is equal to 2, as then X contains a vertical Mobius band.)

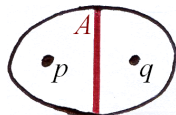


Figure 16: A diagram of $D^2(p, q)$. Note that the A here is the projection of the annulus into the orbifold.

Exercise 24.10. Use orbifold Euler characteristic to show that any horizontal surface $S \subset X$ has $\chi(X) \leq p + q - pq < 0$ as $p, q \geq 2$ and $p \neq q$. Deduce that X is atoroidal and A is the unique essential annulus in X , up to isotopy.

Exercise 24.11 (Harder). Use Exercise 24.10 to prove that

$$g(K_{p,q}) = \frac{(p-1)(q-1)}{2}$$

where $g(K)$ is the minimal genus of a spanning surface for K .

Furthermore, X is a surface bundle over S^1 with monodromy of order pq . To show this, let S be the minimal spanning surface and consider $X - n(S)$.

Aside. To answer the question of a student, we define the Euler characteristic of an n -manifold.

Definition 24.6. We define $\chi(M^n)$ by taking a finite triangulation of M and setting $\chi(M) = \sum_{k=0}^n (-1)^k |T^{(k)}|$ where $|T^{(k)}|$ denotes the number of k -simplices in the image $\|T\|$.

Proposition 24.2 (1.12 in Hatcher). *Suppose (M, \mathcal{F}) is compact, connected and Seifert fibred. Then M is irreducible or M is homeomorphic to one of $S^2 \times S^1, S^2 \tilde{\times} S^1$ or $P^3 \# P^3$.*

Proof. Suppose $S \subset M$ is an essential 2-sphere. Following the proof of Proposition 23.1 (1.11 in Hatcher) with surgery of essential surfaces replacing isotopy of essential spheres, we find an essential 2-sphere S' such that S' is vertical or horizontal. Since S' is not A^2, T^2, M^2 or K^2 , we find S' must be horizontal.

1. If S' is non-separating, then $M - n(S')$ is homeomorphic to $S^2 \times I$. So $M \cong S^2 \times S^1$ or $S^2 \tilde{\times} S^1$.
2. If S' separates, then it is an exercise to show that $M \cong P^3 \# P^3$. □

Proposition 24.3 (1.13 in Hatcher). *Let (M, \mathcal{F}) be as above. Then*

1. *every horizontal 2-sided surface is essential and*
2. *every vertical 2-sided surface is essential except for tori bounding fibred solid tori and boundary parallel annuli cutting off fibred solid tori.*

Proof. Suppose that D is a surgery disk or bigon for $S \subset M$.

1. Suppose S is horizontal. By the previous discussion, $M - n(S)$ is an I -bundle and D gives a surgery for $\partial_h(M - n(S))$. But the horizontal boundary of an I -bundle is always essential.

Exercise 24.12. The horizontal boundary of an I -bundle is always essential.

2. Suppose S is vertical. So D gives a surgery in $M' \subset M - n(S)$ where M' is the component of $M - n(S)$ containing D . Suppose D is essential. Since $D \subset M'$ is essential, D must be vertical or horizontal, hence horizontal. Let $B = M'/S^1$.

Exercise 24.13. Show that B is a disk with at most one orbifold point. Hint: use that $d \cdot \chi_{\text{orb}}(B) = \chi(D) = 1$.

Thus M' is a solid torus. If D was a bigon, then, as $D \cap \partial M = D \cap \partial M'$ is a single arc, the fibring of M' is the trivial fibring, so $M' \cong V_{1,0}$. \square