

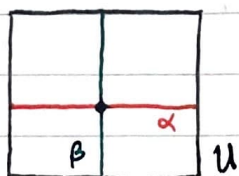
LECTURE 12

ALGEBRAIC INTERSECTION NUMBER

Suppose  $S$  is a connected, compact, oriented surface.

Suppose  $\alpha, \beta$  are oriented simple closed curves.

Suppose  $\alpha$  and  $\beta$  are **transverse**, i.e. for every point  $x \in \alpha \cap \beta$ , we have a nbd.  $U$  of  $x$  in  $S$  where we see:



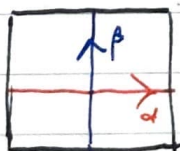
That is,  $x$  is sent to  $(0,0)$ ,  
 $\alpha \cap U$  is sent to  $\mathbb{R} \times \{0\}$ ,  
 $\beta \cap U$  is sent to  $\{0\} \times \mathbb{R}$ .

Picture

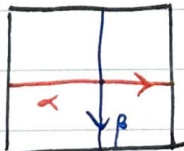


$|\alpha \cap \beta| = 4$

We have two possibilities up to orientation-preserving homeo.



positive intersection



negative intersection

Defn.

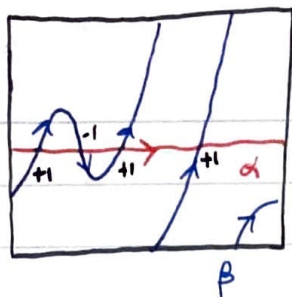
$\alpha \cdot \beta = \#(\text{positive intersections}) - \#(\text{neg. int.})$ , the **algebraic intersection number**.

Q. If  $\alpha, \beta$  are transverse, then  $|\alpha \cap \beta| < \infty$ .  
 [Hint:  $\alpha$  is compact.]

Q.  $\alpha \cdot \beta = \alpha^*(\beta)$ , where  $\alpha \in H^1(S, \mathbb{Z})$  is the Poincaré dual to  $[\alpha]$ .

Q.  $\alpha \cdot \beta$  is invariant of isotopy.

Q.



$$\alpha \cdot \beta = 2$$

Thm. Suppose  $S = \mathbb{T}^2$ ,  $\mu, \lambda$  is a framing of  $\mathbb{T}^2$ .

Suppose  $\alpha, \beta$  are slopes with

$$\alpha = p\mu + q\lambda, \quad \beta = r\mu + s\lambda \quad [p, q, r, s \in \mathbb{Z}].$$

Then

$$\alpha \cdot \beta = \det \begin{pmatrix} p & q \\ r & s \end{pmatrix}.$$

Thm. (Moser, 1971) Suppose  $K = K_{p,q}$  is a torus knot.

Suppose  $r, s \in \mathbb{Z}$  with  $\gcd(r, s) = 1$ .

Define  $\sigma = \det \begin{pmatrix} pq & r \\ 1 & s \end{pmatrix}$  [that is, the algebraic intersection ~~number~~ number between the annulus slope  $\alpha = p \cdot q \cdot \mu + \lambda$  and the filling slope  $\beta = r\mu + s\lambda$ ].

Then

(1) If  $|\sigma| \geq 2$  then  $X_K(\frac{r}{s})$  is Seifert fibered over  $S(a, b, c)$

(2) If  $|\sigma| = 1$  then  $X_K(\frac{r}{s}) \cong L(|r|, sq^2)$

(3) If  $|\sigma| = 0$  then  $X_K(\frac{r}{s}) \cong L(q, p) \# L(p, q)$ .

Thm. (Dehn, 1910) Deals (sort of) with Dehn fillings of  $K_{3,2}$  trefoil.

[Actually focuses on  $X_K(\frac{1}{n})$ .]

Q.

Using Moser or otherwise, show  $\pi_1(X_K(\frac{1}{n}))$  is infinite for  $n \neq 0, 1$ .

Q.

$$X_{K_{3,3}}(\frac{1}{1}) \cong \text{PHS}^3 \text{ (Poincaré homology } S^3).$$

Long story about Poincaré, Heegaard, Dehn and etc!

Pf.

When  $\sigma = 0$ .

$$\text{That is, } \det \begin{pmatrix} pq & r \\ 1 & s \end{pmatrix} = 0 = pq s - r.$$

$$\Rightarrow pq s = r.$$

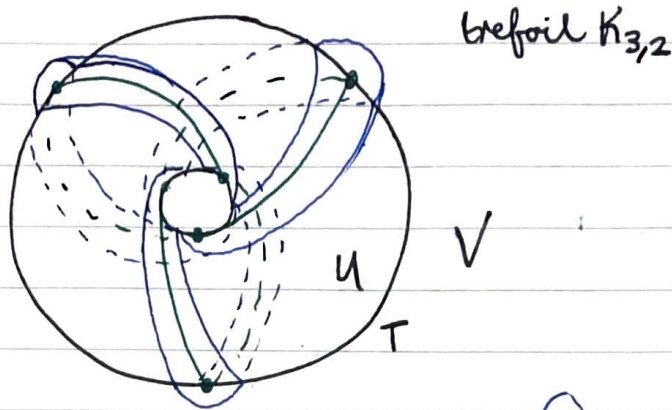


But  $\gcd(p, q) = 1 \Rightarrow s = \pm 1, r = \pm pq.$

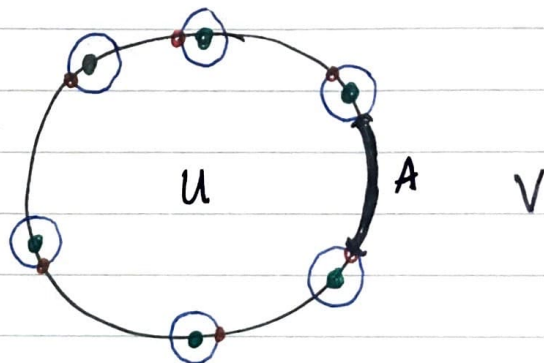
Since  $\beta$  is a slope, may assume  $s = 1, r = pq.$

[That is,  $\beta = \alpha$  is the annulus slope.]

[This is also called the Seifert fibre slope.]



Cross section



Notation.  $T =$  Clifford torus —

$U, V$  are closures of comp't.s of  $S^3 - T.$

— =  $N(K) =$  neighbhd. of knot  $K =$  —

—  $\alpha = \beta$  is the filling slope.

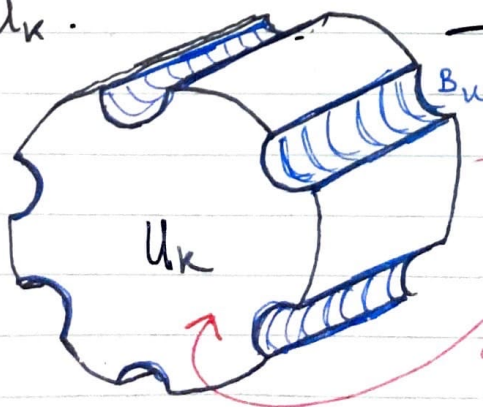
Define  $A = \overline{\partial T - N(K)}$

$U_K = \overline{U - N(K)}$

$V_K = \overline{V - N(K)}$

Note.  $U_K \cup_A V_K = X_K$

Picture. of  $U_K.$



→ there are  $q$  green rectangles.

glue with a  $2\pi \frac{p}{q}$  twist.

Let  $W = D^2 \times S^1$  be a solid torus.

Define  $W_u = D^2 \times \{e^{i\theta} \mid 0 \leq \theta \leq \pi\}$ .

$W_v = D^2 \times \{e^{i\theta} \mid \pi \leq \theta \leq 2\pi\}$ .

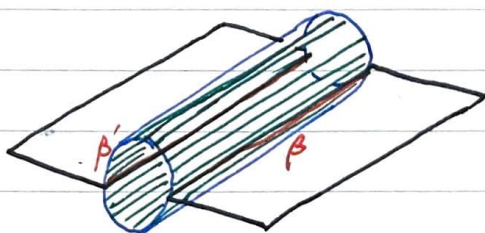
These are two-handles.

Define  $B_u = \partial N(K) \cap U$

$B_v = \partial N(K) \cap V$

Law:  $X_K(\beta) = X_K \cup_{\beta} W$

Picture of  $N(K)$ :



Note  $A \cap \partial N(K)$

$= \beta \cup \beta'$ ,  
parallel slopes.

So we foliate  $B_u, B_v$  by curves parallel to  $\beta, \beta'$ .

Now glue  $\begin{cases} W_u \text{ to } U_K \\ W_v \text{ to } V_K \end{cases}$  along these copies of  $\beta$ .

So.  $U_K \cup B_u \cong L(q, p) - \text{int}(B^3)$

$V_K \cup B_v \cong L(p, q) - \text{int}(B^3)$

So.  $X_K(\beta) = (U_K \cup B_u) \cup_{\beta} (V_K \cup B_v)$

$\cong L(q, p) \# L(p, q)$ .

Defn. On  $S = S_1 = \mathbb{T}^2$ , if  $\alpha \cdot \beta = \pm 1$ , we say the slopes  $\alpha, \beta$  are **Farey neighbours**.

Q. Draw the Farey graph, where vertices are points of  $\mathbb{Q} = \mathbb{Q} \cup \{\infty\}$  and edges connect Farey neighbours.

Q. Do the other cases of Moser's Thm.  
[Hint: if  $\sigma = \pm 1$ , then we are performing a Dehn twist between  $U$  and  $V$  (c.f. Zickert).]

### PROPERTY P

Defn. Suppose  $K \subset S^3$  is a knot. Say  $K$  **has property P** [Bring] if no Dehn filling of  $K$  is a counterexample to the Poincaré conjecture [that is, if  $M$  closed, connected and  $\pi_1(M) = 1$ , then  $M \cong S^3$ ].

Perelman proves geometrization and thus the Poincaré Conjecture.

But, anyway, Moser proves Property P for torus knots. Someone proves it for satellite knots, for alternating knots, etc.

Suppose  $K \neq U$  (the unknot).

Thm. (Gordon - Zuecke) If  $X_K(r/s) \cong S^3$   
then  $r/s = 1/0$ . [Combinatorial topology]

Q.  $H_1(X_K(r/s)) \cong \mathbb{Z}/r\mathbb{Z}$  (so the only case of interest is  $r=1$ ).

Thm. (Cyclic Surgery Theorem, Culler - Gordon - Zuecke - Shalen)  
If  $r/s \neq \pm 1/1$ ,  
then  $\pi_1(X_K(r/s)) \neq 1$ . [Combinatorial topology + representation theory]

Thm. (Kronheimer - Mrowka)  $\pi_1(X_K(1/1)) \neq 1$ . [Gauge theory]

Q.  $X_K(1/1) \cong X_{\bar{K}}(1/1)$ .



These theorems also prove Property P and each other in various combinations.

Q.  $X_U(\circ/1) \cong S^2 \times S^1$ .

PROPERTY R (Lyubai, Jordan-Kuecke, others)

Suppose  $K \subset S^3$  is a knot,  $K \neq U$ .

Then  $X_K(\circ/1) \neq S^2 \times S^1$ . [uses theory of taut foliations.]

Q.  $X_K(\circ/1)$  is a homology  $S^2 \times S^1$ .

Conj (Cabling Conjecture) Suppose  $K \subset S^3$  is a knot.

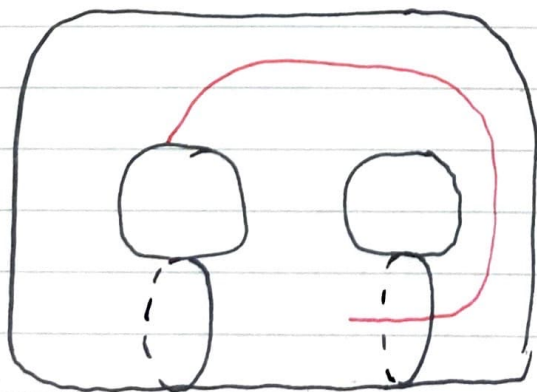
Suppose  $X_K(r/s)$  is reducible

Then  $K$  is a cable knot [satellite with torus knot pattern], and  $r/s$  is the annulus slope.

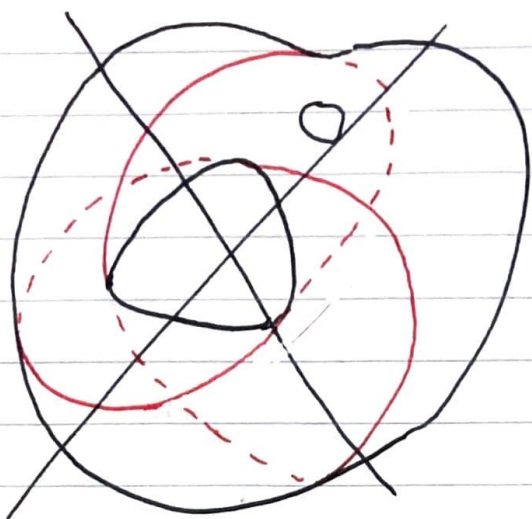
Conj (Berge Conjecture) Suppose  $X_K(r/s)$  is a lens space.

Then  $K$  is a Berge knot, that is  $K$  lies on the genus two Heegaard splitting of  $S^3$  and is doubly primitive there.

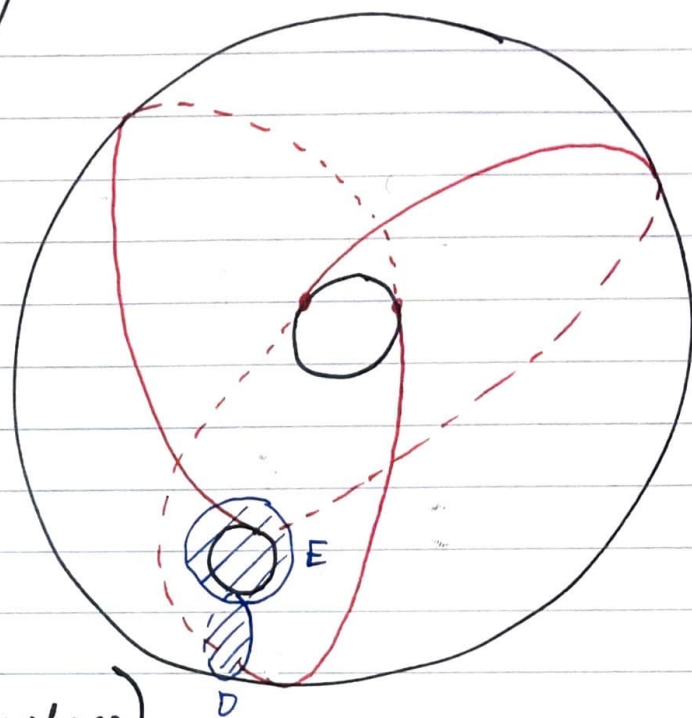
Picture.



Wrong:

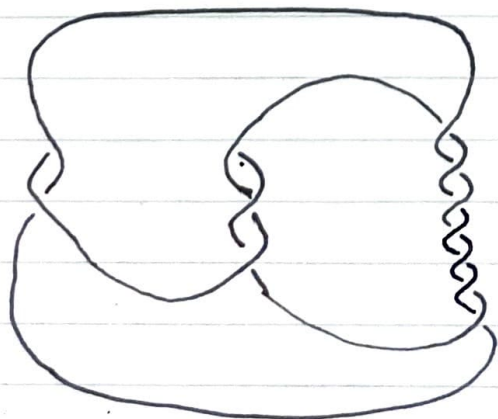


Trefoil knot in  $U$   
(handlebody) with  
disks  $D, E$  showing  
it is *doubly primitive*  
( $|D \cap K| = |E \cap K| = 1$ ,  
 $D \subset U, E \subset V$ ,  
 $K \subset U \cup V$  the Heegaard surface).

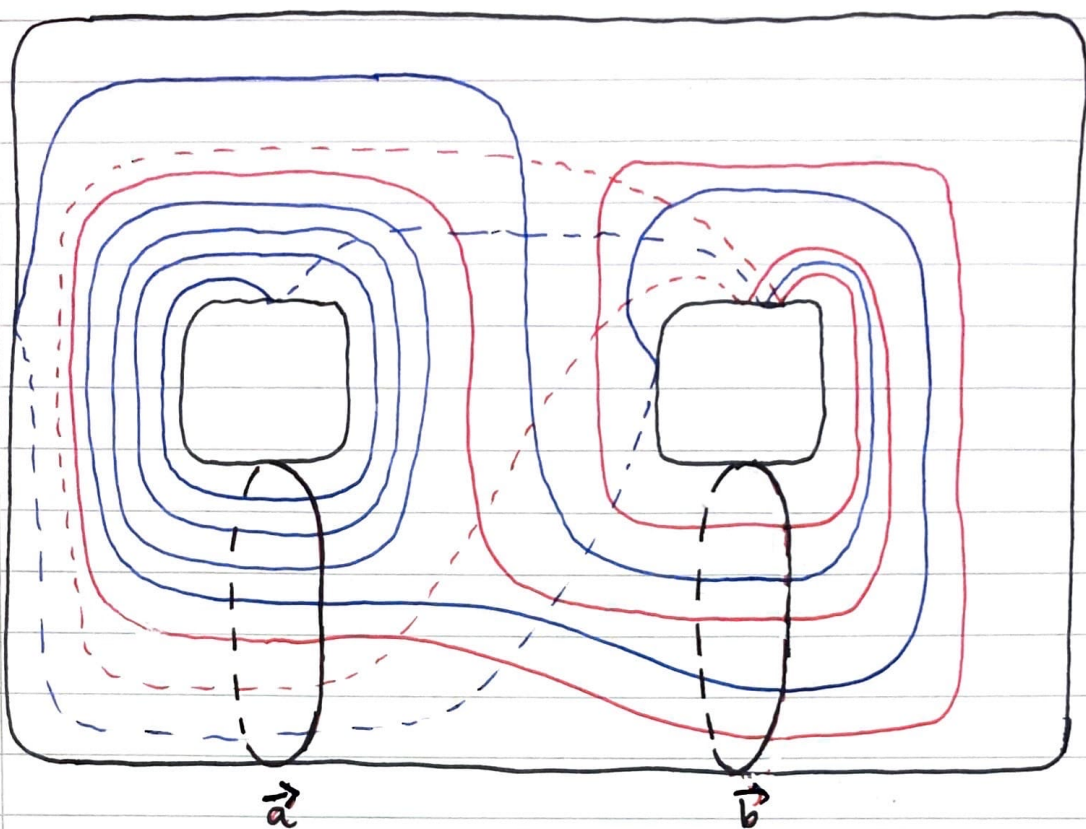


Thm. (Li, Moriah, Pinsky) Berge Conjecture holds for tunnel  
number one knots.

Q. The  $(-2, 3, 7)$  pretzel knot is a Berge knot.  
( $18/1$  and  $17/1$  are lens space slopes.)



Q. Challenge: draw pictures to show  $K$  is doubly primitive.



$$\langle a, b \mid abba^B, aaaaabAb \rangle$$

- ⑥ This is (essentially) the Heegaard diagram in Poincaré's fifth complement (1904) giving the first definition of  $PHS^3$ .

#### Other definitions

- ①  $S^3/D^*$  with  $D^* < SU(2)$  the <sup>binary</sup> dodec. group. (Seifert, Weber, 1933)
- ②  $X_K(V_i)$  where  $K = K_{3,2}$  (Dehn, 1910).
- ③ Take a dodecahedron and glue opposite sides, as in prev. lecture.
- ④ Some Seifert fibred space over  $S^2(a,b,c)$  (Seifert)

Paper: Kirby-Scharleman, "Eight faces of  $PHS^3$ ."

Q. All of these give  $PHS^3$ .